



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US91/04447 <b>(22) International Filing Date:</b> 17 June 1991 (17.06.91)  <b>(30) Priority data:</b> 538,857                      15 June 1990 (15.06.90)                      US Not furnished                17 June 1991 (17.06.91)                      US  <b>(71) Applicant:</b> CALIFORNIA BIOTECHNOLOGY INC. [US/US]; 2450 Bayshore Parkway, Mountain View, CA 94043 (US).  <b>(72) Inventor:</b> CORDELL, Barbara ; 4051 Ben Lomond Drive, Palo Alto, CA 94306 (US).  <b>(74) Agent:</b> BOZICEVIC, Karl; Irell & Manella, 545 Middle- field Road, Suite 200, Menlo Park, CA 94043 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (Euro- pean patent), CA, CH (European patent), DE (Euro- pean patent), DK (European patent), ES (European pa- tent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (Euro- pean patent), NL (European patent), SE (European pa- tent).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> TRANSGENIC NON-HUMAN MAMMAL DISPLAYING THE AMYLOID-FORMING PATHOLOGY OF ALZHEIMER'S DISEASE  <b>(57) Abstract</b>  Cloned recombinant or synthetic DNA sequences related to the pathology of Alzheimer's disease are injected into ferti- lized mammalian eggs (preferably mice eggs). The injected eggs are implanted in pseudo pregnant females and are grown to term to provide transgenic mice whose cells express proteins related to the pathology of Alzheimer's disease. The injected sequences are constructed having promoter sequences connected so as to express the desired protein in specific tissues of the transgenic mammal (most notably in nerve tissue). The proteins which are preferably ubiquitously expressed include (1) $\beta$ -amyloid core precursor proteins; and (2) $\beta$ -amyloid related precursor proteins; and (3) serine protease inhibitor. The transgenic mice provide useful models for studying compounds being tested for their usefulness in treating Alzheimer's disease, and for studying the <i>in</i> <i>vivo</i> interrelationships of these proteins to each other.		

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5                    TRANSGENIC NON-HUMAN MAMMAL DISPLAYING THE  
                    AMYLOID-FORMING PATHOLOGY OF ALZHEIMER'S DISEASE

Cross-Reference

                    This application is a continuation-in-part of  
10    my earlier filed pending U.S. application Serial No.  
                    07/538,857, filed June 15, 1990, which application is  
                    incorporated herein by reference in its entirety and to  
                    which application I claim priority under 35 U.S.C. § 120.

15    1.   Field of the Invention

                    The invention relates generally to animal  
                    models useful in testing a hypothesis related to the  
                    treatment of a disease. More specifically, it relates to  
                    transgenic mammals which have had incorporated in their  
20    genome specific segments of exogenous genetic material  
                    which encode for and in specific cell types will  
                    ubiquitously over-express b-amyloid proteins, their  
                    precursors and portions of such proteins and precursors.

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2.   Background of the Invention

                    The background of the present invention is  
                    twofold in that it relates to: (1) biological organisms  
                    which have been genetically transformed; and (2) a study  
30    of genetic material related to amyloidosis.

                    Both of these areas are discussed below.

2.1.   Genetic Transformations.

                    For sometime it has been known that it is  
35    possible to carry out the genetic transformation of a

zygote (and the embryo and mature organism which result therefrom) by the placing or insertion of exogenous genetic material into the nucleus of the zygote or to any nucleic genetic material which ultimately forms a part of the nucleus of the zygote. The genotype of the zygote and the organism which results from a zygote will include the genotype of the exogenous genetic material. Additionally, the inclusion of exogenous genetic material in the zygote will result in a phenotype expression of the exogenous genetic material.

The genotype of the exogenous genetic material is expressed upon the cellular division of the zygote. However, the phenotype expression, e.g., the production of a protein product or products of the exogenous genetic material, or alterations of the zygote's or organism's natural phenotype, will occur at that point of the zygote's or organism's development during which the particular exogenous genetic material is active. Alterations of the expression of the phenotype include an enhancement or diminution in the expression of a phenotype or an alteration in the promotion and/or control of a phenotype, including the addition of a new promoter and/or controller or supplementation of an existing promoter and/or controller of the phenotype.

The genetic transformation of various types of organisms is disclosed and described in detail in U.S. patent 4,873,191, issued October 10, 1989, which is incorporated herein by reference to disclose methods of producing transgenic organisms. The genetic transformation of organisms can be used as an in vivo analysis of gene expression during differentiation and in the elimination or diminution of genetic diseases.

The genetic transformation of a zygote (and the organisms which matures therefrom) is carried out by the addition of exogenous genetic material in a manner such

that the exogenous genetic material becomes part of the nucleic portion of the zygote prior to a division of the zygote. If the exogenous genetic material is added after mitosis or cell division of the zygote, the exogenous genetic material must be added to each resulting nucleus. However, there is a possibility that the exogenous genetic material may not be integrated into and become a part of the genetic material of the zygote and the organism which results therefrom. Thus, the exogenous genetic material can be added to any nucleic genetic material which ultimately forms a part of the nucleus of the zygote, including the zygote nucleus.

The nucleic genetic material of the organism being transformed must be in a physical state which enables it to take up the exogenous genetic material. There are numerous ways of accomplishing this. For example, the exogenous genetic material can be placed in the nucleus of a primordial germ cell which is diploid, e.g., a spermatogonium or oogonium. The primordial germ cell is then allowed to mature to a gamete, which is then united with another gamete or source of a haploid set of chromosomes to form a zygote.

The exogenous genetic material can be placed in the nucleus of a mature egg. It is preferred that the egg be in a fertilized or activated (by parthenogenesis) state. After the addition of the exogenous genetic material, a complementary haploid set of chromosomes (e.g., a sperm cell or polar body) is added to enable the formation of a zygote. The zygote is allowed to develop into an organism such as by implanting it in a pseudopregnant female. The resulting organism is analyzed for the integration of the exogenous genetic material. If positive integration is determined, the organism can be used for the in vivo analysis of the gene

expression, which expression is believed to be related to a particular genetic disease.

Attempts have been made to study a number of different types of genetic diseases utilizing such transgenic animals. Attempts related to studying Alzheimer's disease are disclosed within published PCT application WO89/06689 and PCT application WO89/06693, both published on July 27, 1989, which published applications are incorporated herein by reference to disclose genetic sequences coding for Alzheimer's  $\beta$ -amyloid protein and the incorporation of such sequences into the genome of transgenic animals.

As described in detail below, the production of  $\beta$ -amyloid protein is believed to be related to Alzheimer's disease. However, a serious obstacle to elucidating the molecular mechanism involved in amyloid synthesis and deposition in an Alzheimer's diseased brain has been the unavailability of convincing animal models for this uniquely human disorder. Published PCT applications WO89/06689 and WO89/06693 disclose particular DNA sequences believed to be related to the production of amyloid. These particular sequences are fused to newly developed tumor virus vectors, derived from SV40 and the JC virus to produce constructs. These constructs are utilized to transfect cells and transgenic mice to establish models for amyloid overexpression, which may be related to amyloid accumulation in the Alzheimer's-diseased brain.

The transgenic animals produced in accordance with the present invention are intended to provide an experimental medium for elucidating aspects of the molecular pathogenesis of Alzheimer's disease and to serve as tools for screening drugs that may have potential application as therapeutic agents to prevent or limit amyloid accumulation.

## 2.2. Alzheimer's Disease and $\beta$ -Amyloid Protein

It is estimated that over 5% of the U.S. population over 65 and over 15% of the U.S. population over 85 are beset with some form of Alzheimer's disease (Cross, A.J., Eur J Pharmacol (1982) 82:77-80; Terry, R.D., et al., Ann Neurol (1983) 14:497-506). It is believed that the principal cause for confinement of the elderly in long term care facilities is due to this disease, and approximately 65% of those dying in skilled nursing facilities suffer from it.

Certain facts about the biochemical and metabolic phenomena associated with the presence of Alzheimer's disease are known. Two morphological and histopathological changes noted in Alzheimer's disease brains are neurofibrillary tangles (NFT) and amyloid deposits. Intraneuronal neurofibrillary tangles are present in other degenerative diseases as well, but the presence of amyloid deposits both in the interneuronal spaces (neuritic plaques) and in the surrounding microvasculature (vascular plaques) seems to be characteristic of Alzheimer's. Of these, the neuritic plaques seem to be the most prevalent (Price, D.L., et al., Drug Development Research (1985) 5:59-68). Plaques are also seen in the brains of aged Down's Syndrome patients who develop Alzheimer's disease.

The protein which makes up the bulk of these plaques has been partially purified and sequenced. Plaque-rich brains of deceased Alzheimer's patients have been used as a source to extract an approximately 4.2 kd "core" polypeptide, amyloid plaque core protein (APCP), herein referred to as " $\beta$ -amyloid core protein." This peptide was designated  $\beta$ -protein by Glenner, G., et al., [Biochem Biophys Res Commun (1984) 120:885-890]. The amino acid sequence of the amino-terminus has been

determined [Glennner, G., et al., Biochem Biophys Res Commun (1984) 122:1131-1135; Masters, C.L., et al., Proc Natl Acad Sci USA (1985) 82:4245-4259] and the amino acid sequences reported by the two groups are identical except  
5 that Glennner et al., report a glutamine at position 11 for Alzheimer Disease cerebral vascular amyloid whereas Masters et al., report glutamic acid at position 11. Also, the former authors report that the cerebral vascular amyloid has a unique amino-terminus while the  
10 latter authors report that the form found in amyloid plaque cores has a "ragged" amino-terminus - i.e., peptides isolated from this source appear to be missing 3, 7, or 8 amino acids from the amino-terminus. Both groups have shown that the same peptide is found in the  
15 amyloid plaque cores and vascular amyloid of adult Down's syndrome-afflicted individuals and report glutamic acid at position 11.

Further studies on the  $\beta$ -amyloid core protein were also conducted by Roher, A., et al., Proc Natl Acad Sci USA (1986) 83:2662-2666 which showed the complete  
20 amino acid composition of the  $\beta$ -protein, and verified that it matched that of no known protein. The compositions obtained were, however, evidently not in agreement with those of Allsop, D., et al., Brain Res (1983) 259:348352; nor were they in agreement with those  
25 published by Glennner or Masters (supra).

Wong, C.W., et al., Proc Natl Acad Sci USA (1985) 82:8729-8732 showed that a synthetic peptide which was homologous to the first ten amino acids of the  $\beta$ -  
30 amyloid core protein described by Masters (supra) was able to raise antibodies in mice and that these antibodies could be used to stain not only amyloid-laden cerebral vessels, but neuritic plaques as well. These results were confirmed by Allsop, D. et al., Neuroscience Letters (1986) 68:252-256 using monoclonal antibodies  
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directed against a synthetic peptide corresponding to amino acids 8-17. Thus, in general, the plaque protein found in various locations of the brain of Alzheimer's patients appears to be similar in immunoreactivity. It is highly insoluble, as shown by the inability to achieve solubilization in many commonly used denaturants such as detergents and chaotropic agents (Masters, supra, Allsop, D., et al., (supra)).

It is believed, by analogy to some other amyloid proteins, that  $\beta$ -amyloid core protein may be formed from a precursor in the peripheral circulatory system or lymphatic system. There are six known instances of disease-associated amyloid deposits in which the nature of the precursor protein for the amyloid protein is known: for primary amyloidosis, the source is an immunoglobulin light chain; for secondary amyloidosis, the precursor is amyloid A protein; for familial amyloid polyneuropathy and senile cardiac amyloidosis, prealbumin also known as transthyretin or a variant thereof; for medullary carcinoma of thyroid, a procalcitonin fragment; and for hereditary cerebral hemorrhage, gamma-trace fragment which has been shown to be cystatin C. (See, e.g., Glenner, G. New England Journal of Medicine (1980) 302:1283; Sletton, K., et al., Biochem J (1981) 195:561; Benditt, et al., FEBS Lett (1971) 19:169; Sletton, K., et al., Eur J Biochem (1974) 41:117; Sletton, K., et al., J Exp Med (1976) 143:993). The foregoing is a partial list and there are at least a number of additional references with regard to procalcitonin fragment as a precursor for the amyloid of the thyroid carcinoma. Alternatively, or additionally, such a precursor for  $\beta$ -amyloid core protein may be produced in the brain or elsewhere and is specifically deposited in the brain.

It has been described that a protein containing the  $\beta$ -amyloid core protein (referred to as A4) sequence

within the framework of a larger protein exists (Kang, J., et al., Nature (1987) 325:733-736). This protein, which is a potential precursor in vivo to the  $\beta$ -amyloid core protein, was predicted from the sequence of a cDNA clone isolated from a human fetal brain tissue cDNA library and consists of 695 amino acid residues (referred to as A695) wherein the amino terminus of the  $\beta$ -amyloid core protein begins at position 597. By analogy to the above described series, it may be that such a precursor or a fragment thereof circulates in the serum at a higher level differentiable in Alzheimer's victims relative to unafflicted individuals. Alternatively or additionally, such differences may be detected in the cerebral spinal fluid.

It appears as though there are a number of precursor proteins in addition to A695, which was described by Kang et al. One such precursor protein is described in copending U.S. application Serial No. 361,912, filed June 6, 1989, by researchers from the same research organization as the present inventors (A751). Others have characterized an additional amyloid precursor protein (see Kitaguchi et al., Nature 331:530-532 (1988), which is slightly larger, 770 amino acids. It is pointed out that these A751 and A770 proteins contain an approximately 57 amino acid insert beyond A695. This particular 57 amino acid insert sequence is highly homologous to a number of Kunitz-type inhibitors which are specific for a number of serine proteases. An additional 19 amino acids are present adjacent to the 57 amino acid insert in the A770 form.

As indicated by the above publications and numerous other publications not cited, the genetic material encoding for the production of  $\beta$ -amyloid precursor proteins are the subject of intensive study. However, at present, there is no direct verifiable

information available on the specific mechanisms that regulate the production and deposit of amyloid protein in an Alzheimer's diseased brain. It is known that the genetic material encoding for the production of  $\beta$ -amyloid precursor protein is on chromosome 21. Further, numerous studies suggest that there are complex interactions involving the genetic material on this chromosome. Such interactions are believed to involve the production of precursor proteins, proteases and protease inhibitors. Further, it is believed that in individuals suffering from Alzheimer's disease these interactions are somehow skewed so that an unusually high content of  $\beta$ -amyloid core protein is produced and/or deposited in the brain. The high  $\beta$ -amyloid core protein concentration could be the result of a variety of biochemical activities including the overproduction of such proteins and/or the inability to cleave sufficient numbers of such proteins once cleaved.

The transgenic mammals of the present invention will provide insights with respect to how and where these interactions occur and thus provide more useful models for testing the efficacy of certain drugs in preventing or reducing the accumulation of  $\beta$ -amyloid core protein in the brain. The transgenic non-human mammals of the present invention include recombinant genetic material comprised of specific segments of  $\beta$ -amyloid precursor proteins which segments are fused to specific promoters capable of expressing the protein in specific tissues such as nerve tissues generally and/or specific types of nerve tissue, e.g., the brain.

### 3. Summary of the Invention

The invention provides a means for elucidating the molecular mechanisms involved in the synthesis of and, more importantly, inhibiting the synthesis and  
5 deposition of  $\beta$ -amyloid protein (most importantly, in the brain) by inhibiting production and/or increasing cleavage after production. Cloned recombinant or synthetic DNA sequences related to the pathology of Alzheimer's disease are injected into fertilized  
10 mammalian eggs (preferably mice eggs). The injected eggs are implanted in pseudopregnant females and are grown to term to provide transgenic mice whose cells express proteins related to the pathology of Alzheimer's disease. The injected sequences are constructs having promoter  
15 sequences connected so as to express the desired protein in specific tissues of the transgenic mammals.

It is believed that  $\beta$ -amyloid core protein A99 and A42 (as defined herein) are first expressed in the form of precursor proteins A695 and/or A751. The  
20 precursor proteins may be subjected to the action of a protease enzyme which allows for the formation of  $\beta$ -amyloid core proteins, which, when produced in sufficient amounts, allows for the formation of plaques associated with Alzheimer's disease. An important aspect  
25 of the present invention relates to sequences which code for the production of any of A42, A99, A695, and A751 in specific cells (e.g., nerve cells) and to a sequence which codes for a protease inhibitor, which inhibits the action of the proteolytic enzyme which may be responsible  
30 for converting precursor  $\beta$ -amyloid proteins into  $\beta$ -amyloid core proteins or which may inhibit a proteolytic enzyme (or enzymes) directed to catabolize or degrade the  $\beta$ -amyloid core protein.

In connection with the present invention  
35 nucleotide sequences which encode for the production of

specific  $\beta$ -amyloid precursor proteins and  $\beta$ -amyloid core proteins are linked to specific promoter sequences. The promoter sequences are carefully chosen so that some sequences express the nucleotide sequence they are  
5 attached to in all types of tissues whereas other promoter sequences only express the nucleotide they are attached to when the promoter is present within specific types of cells of a specific type of tissue e.g., nerve tissue. By producing such transgenic mice, additional  
10 information can be obtained with respect to how and where  $\beta$ -amyloid core proteins are produced (and sometimes degraded) and deposited in an Alzheimer's diseased brain. Using this additional information regarding the mechanism and location of the production (and possible degradation)  
15 and deposition of  $\beta$ -amyloid core proteins, therapeutic agents can be more effectively tested with respect to their ability to prevent the production and/or deposition of such proteins and thus alleviate or prevent Alzheimer's disease.

20 Further, an important aspect of this invention is the production of transgenic mammals which include DNA sequences that encode for analogs of a 57-amino acid protease inhibitor, which analogs contain at least one amino acid substitution which is effective to yield an  
25 inhibitor having altered protease specificity. The transgenic mammals of this invention provide useful models for studying the in vivo relationships of the proteins to each other and to other compounds being tested for their usefulness in treating Alzheimer's  
30 disease.

### 3.1. Objects, Features and Advantages

A primary object of this invention is to provide a transgenic non-human mammal whose cells include  
35 a recombinant DNA sequence coding for cell type specific

expression of  $\beta$ -amyloid proteins or analog thereof, which mammals can be used for the study of the etiology of Alzheimer's disease and the efficacy of drugs in treating the disease.

5           An advantage of the present invention is that it provides an in vivo means for studying the effects of expressing different proteins in different tissues (e.g., nerve tissue including specific types of nerve tissues and non-nerve tissue) vis-a-vis the synthesis of  
10  $\beta$ -amyloid protein and the formation of plaques associated with Alzheimer's disease.

          Another object of the invention is to provide a transgenic non-human mammal whose cells include a recombinant DNA sequence comprising a cell specific  
15 promoter sequence and a sequence coding for one or more  $\beta$ -amyloid precursor proteins alone or with certain protease inhibitor proteins which can be used for the study of the etiology of Alzheimer's disease.

          Yet another object of the invention is to  
20 provide transgenic mice which have in their cells unique promoter/coding sequences which ubiquitously express  $\beta$ -amyloid precursor protein in nerve tissue and nerve tissue subtypes and/or all types of tissue.

          A further aspect of the invention relates to  
25 synthesis and use of promoter/coding constructs which express the  $\beta$ -amyloid precursor proteins alone or in combination with inhibitor proteins in various tissues of transgenic mammals incorporating such constructs in their genome. A feature of the transgenic mammals of the  
30 invention is that they provide both prognostic and diagnostic means for the study of Alzheimer's disease and for determining the efficacy of pharmaceutical drugs in treating Alzheimer's disease in a test subject. Initially, the transgenic mice are used as standards to  
35 identify one or more candidate compounds capable of

metabolizing the  $\beta$ -amyloid protein (or preventing its formation) which is associated with a predisposition to Alzheimer's disease.

Yet another aspect of the invention relates to a transgenic mammal incorporated with recombinant DNA sequences comprised of tissue specific promoter sequences and sequences coding for the expression of a 57 amino acid protease inhibitor and/or analogs thereof including a promoter specifically capable of expressing the inhibitor in nerve tissue.

Other objects, advantages and features of the present invention include providing transgenic non-human mammals which provide information regarding the mechanism and location of  $\beta$ -amyloid core protein production and disposition as well as providing in vivo models for testing drugs capable of interfering with or preventing such production and/or disposition.

These and other objects, features and advantages of the invention will become more fully apparent when the following detailed description of the invention is read in conjunction with the accompanying figures.

#### 4. Brief Description of the Drawings

Figure 1, which includes 1-1, 1-2, 1-3 and 1-4, shows the base sequence of a cDNA clone, designated  $\lambda$ APCP168i4, which encodes amino acids 1-751 of  $\beta$ -amyloid precursor protein. The 168 bp insert, which distinguishes this clone from the Kang et al. sequence, is underlined.

Figure 2 shows the amino acid sequence of A99.

Figure 3 shows the amino acid sequence of A42 corresponding to the  $\beta$ -amyloid core protein.

Figure 4 shows the construction strategy for NSE promoter linked to A42 and to A99.

Figure 5 shows the construction strategy for NSE promotor linked to A695 and to A751.

Figure 6 shows the construction strategy for MT promotor linked to A42 and to A99.

5        Figure 7 shows the construction scheme for a mammalian cell expression vector for the expression of MT-A751 and MT-A695.

10        Figure 8 includes 8-1 and 8-2 and shows the relatedness of the peptide encoded by the  $\lambda$ APCP168i4 168 bp insert to a superfamily of proteins many of whose members exhibit inhibitory activity for serine proteases.

Figure 9 shows the NSE promoter sequence and a PCR Amplification Scheme for NSE.

15        Figure 10 shows a construction scheme for a mammalian cell expression vector for  $\beta$ -amyloid expression/selection of  $\beta$ -amyloid core constructs.

Figure 11A shows a schematic diagram of the transgene NSE-A751.

20        Figure 11B shows a Southern blots of DNA taken from wild-type and transgenic mice.

Figure 12 (A, B, C and D) show immunoperoxidase staining of human and mouse brain.

25        Figure 13 (A, B, C, D and E) are photomicrographs of immunoreactive deposits in NSE-A751 brains.

## 5. Detailed Description of Preferred Embodiments

Before the present transgenic mice and process for making and using such to test drugs are described, it is to be understood that this invention is not limited to the particular processes and materials described as such methods and materials may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting since the scope of the

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present invention will be limited only by the appended claims.

It must be noted that as used in this specification and the appended claims, the singular forms "a", "an" and "the" include plural referents unless the context clearly dictates otherwise. Thus, for example, reference to "a clone" or "a sequence" includes mixtures of clones or sequences of the type described, reference to "an amyloid protein" includes reference to mixtures of such proteins of the type described, and reference to "the transgenic mouse" includes different species of such mice and so forth.

#### 5.1. Definitions

The terms "core protein" and  $\beta$ -amyloid core protein" mean a 99 amino acid protein as is shown in Figure 2 and fragments thereof such as the 42 amino acid sequence as shown in Figure 3. Such proteins are also referred to herein as A99 and A42. A 42 amino acid core protein is described by Masters, C.L., et al. Proc Natl Acad Sci USA (1985) 82:4245-4249, herein referred to as "Masters, et al."

"A99" is a symbol representing the C-terminal 99 amino acids of the  $\beta$ -amyloid precursor and is considered a "core protein".

"A42" refers to a core protein of the  $\beta$ -amyloid precursor. The N-terminus is the N-terminus of the 42 amino acid core and therefore contains the entire core domain. As used throughout this application, the term A42 corresponds to the 42 amino acid core sequence of the  $\beta$ -amyloid precursor protein.

" $\beta$ -amyloid related protein" is defined herein as: (1) a 751 amino acid protein as shown in Figure 1; (2) a 695 amino acid protein as shown in Figure 1 minus the 57 underlined amino acids; (3) the 57 amino acid

sequence underlined in Figure 1; and (4) analogs of any of (1)-(3) and fragments thereof including, but not limited to, A99 and A42. As an example, this term is used to refer to the protein described by Kang, J. et al., Nature (1987) 325:733-736, herein referred to as "Kang, et al." which contains the  $\beta$ -amyloid core protein within its structure at amino acid 597 of a 695 amino acid protein.

" $\beta$ -amyloid precursor protein" includes a subgroup of the " $\beta$ -amyloid related proteins" defined above. Such precursor proteins were first disclosed by "Kang et al." and are produced naturally as larger precursors proteins of the " $\beta$ -amyloid core protein"; the 751 amino acid sequence is the most notable example of a precursor protein as used in connection with the invention.

"Immunogenic  $\beta$ -amyloid core peptide" or "immunogenic  $\beta$ -amyloid-related peptide" refer to peptides whose amino acid sequences match those of some region of the  $\beta$ -amyloid core protein or  $\beta$ -amyloid precursor protein, and which are capable of provoking an antibody response in an immunized animal. Examples of such proteins are described in detail by Kitaguchi et. al., Biochemical and Biophysical Research Communications, Vol. 166, No. 3, Feb. 14, 1990.

"Genetic predisposition to Alzheimer's disease" refers to an identifiable genetic mutation or alteration found in the genomes of individual's with Alzheimer's disease, or those individuals destined to develop Alzheimer's disease, but not normal (nondiseased) individuals.

"A4i" as used herein refers to the 57 amino acid sequence underlined in Figure 1. The "A4i" may be a polypeptide corresponding to the novel serine protease inhibitor encoded by the polynucleotide derived from the

bacteriophage  $\lambda$ APCP168i4. The A4i polypeptide is not necessarily physically derived from the expression product of this bacteriophage, but may be generated in any manner, including peptide synthesis, recombinant DNA techniques or a combination thereof. "Corresponding" means homologous to or substantially equivalent to the designated sequence.

"Genetic material" is a material containing any DNA sequence or sequences either purified or in a native state such as a fragment of a chromosome or a whole chromosome, either naturally occurring or synthetically or partially synthetically prepared DNA sequences, DNA sequences which constitute a gene or genes and gene chimeras, e.g., created by ligation of different DNA sequences. Genetic material does not include DNA sequences incorporated in or carried by a plasmid, virus or phage.

"Exogenous genetic material" is a genetic material not obtained from or does not naturally form a part of the specific germ cells or gametes which form the particular zygote which is being genetically transformed.

"DNA sequence" is a linear sequence comprised of any combination of the four DNA monomers, i.e., nucleotides of adenine, guanine, cytosine and thymine, which codes for genetic information, such as a code for an amino acid, a promoter, a control or a gene product. A specific DNA sequence is one which has a known specific function, e.g., codes for a particular polypeptide, a particular genetic trait or affects the expression of a particular phenotype.

"Gene" is the smallest, independently functional unit of genetic material which codes for a protein product or controls or affects transcription and comprises at least one DNA sequence.

"Genotype" is the genetic constitution of an organism.

"Phenotype" is a collection of morphological, physiological and biochemical traits possessed by a cell or organism that results from the interaction of the genotype and the environment.

"Phenotypic expression" is the expression of the code of a DNA sequence or sequences which results in the production of a product, e.g., a polypeptide or protein, or alters the expression of the zygote's or the organisms natural phenotype.

"Zygote" is a diploid cell having the potential for development into a complete organism. The zygote can result from parthenogenesis, nuclear transplantation, the merger of two gametes by artificial or natural fertilization or any other method which creates a diploid cell having the potential for development into a complete organism. The origin of the zygote can be from either the plant or animal kingdom.

"Parthenogenesis" is any technique that allows for the development of a female or male gamete into a cell and its development into an organism, which technique is different from the natural development of female and male gametes.

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## 5.2. Disclosure Overview and Organization

In order to disclose and describe this invention in an organized fashion, particular aspects of the invention are described in different sections. The definition of terms has been given above in Section 5.1.

30

The following Section 5.3 describes the various DNA sequences which express different proteins, precursor proteins, and inhibitors, as well as promoter sequences which are fused to these sequences in order to obtain

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expression of the protein in particular tissues. These DNA sequences are described in part by biological deposits made in connection with this disclosure and by reference to the attached figures.

5           Section 5.4 of the disclosure describes protocols by which it was possible to confirm that the sequence described in Section 5.3 could be expressed to produce proteins.

10           Section 5.5 describes eight different promoter/A4 sequence constructs and, with reference to Figure 4 through Figure 7, describes the synthesis of the constructs.

15           Section 5.6 describes methods of producing the transgenic mice of the invention utilizing the DNA sequences, constructs and other information described in the above sections.

          Various methods and materials used in connection with the different aspects of the invention are described in Section 5.7.

20           Detailed Examples, the Claims and Abstract follow.

### 5.3. DNA Sequences

25           In order to describe the transgenic mice of the present invention, it is necessary to describe certain DNA sequences. For example, it is important to describe the DNA sequence which encodes a  $\beta$ -amyloid precursor protein comprising the nucleotide sequence and corresponding, deduced amino acid sequence set forth in Figure 1. This DNA sequence encodes an approximately 82,610 dalton protein containing  $\beta$ -amyloid-related core protein.

35           As a first step toward producing the transgenic mice of the present invention, the  $\beta$ -amyloid protein cDNA sequence, set forth in Figure 1, can be obtained in any

manner known to those skilled in the art. One method of obtaining A751 is by isolating it from bacteriophage containing the cDNA clone  $\lambda$ APCP168i4. This human fibroblast cDNA clone known as  $\lambda$ APCP168i4 was deposited  
5 at ATCC on July 1, 1987 and has accession No. 40347.

The 168 basepair insert (underlined in Figure 1) interrupts the codon for Val<sub>289</sub> of the Kang et al. sequence, resulting in the loss of this amino acid from the  $\lambda$ APCP168i4 protein. The 168 basepair insert,  
10 together with the 3 basepairs gained from the interrupted Val<sub>289</sub> codon, encode 57 new amino acids, which are underlined in Figure 1. Downstream of this insertion, at codon 653 of Figure 1, lies the amino-terminal aspartate of the  $\beta$ -amyloid core protein described by Masters et al.

15 A unique feature of the transgenic mice of the present invention relates to including cell specific promoters in front of sequences which encode A42, A99, A695, A751 and A4i (note that A4i is the 57 amino acid insert found in  $\lambda$ APCP168i4).

20 The ability of the transgenic mice to selectively express these particular peptides (in specific types of cells), including any fragments thereof, distinguishes the present transgenic mice from others.

25 The cloned recombinant and/or synthetic DNA sequences used in connection with the present invention are sequences which are encoded for the production of a biologically active, refolded protein, which protein is preferably selected from the group consisting of:

30

<u>A4 Name</u>	<u>Description</u>	<u>Sequence in Fig.</u>
A42	$\beta$ -amyloid core domain	3
A99	$\beta$ -amyloid carboxy tail	2
A695	$\beta$ -amyloid precursor protein	1
35 A751	precursor plus inhibitor	1
A4i	protease inhibitor	1

The A4i is underlined in Figure 1 and mice which incorporate a sequence which expresses A4i generally or in specific cells are part of the present invention (i.e., notwithstanding cell specific promoters) as the  
5 A4i protein may itself be a valuable compound in preventing or reducing the effects of Alzheimer's disease. Transgenic animals containing sequences expressing A4i are not heretofore known.

10           5.4. Protein Production

Preferred cDNA clones used in making the transgenic mice include coding sequences which may be expressed to obtain any one of A42, A99, A695, A751 and A4i. These sequences are first inserted in a suitable  
15 expression vector for replication and to confirm production of protein.

Briefly, an E. coli expression vector, designated pAPCP118-3, was constructed for the expression of a fusion protein consisting of amino acid residues 655  
20 to 751 set forth in Figure 1. The construction of pAPCP118-3 was accomplished by joining the following three fragments: (1) a plasmid backbone (consisting of pBR322 replication functions, an ampicillin resistance gene, the tryptophan promoter and operator, a ribosome  
25 binding site, DNA encoding the seven amino terminal codons of the  $\beta$ -galactosidase structural gene followed by six threonine residues, and transcription termination signals); (2) an EcoRI-HaeII fragment encoding amino acid residues 655-728 of the Figure 1 sequence; and (3) a  
30 synthetic fragment encoding amino acid residues 729-751 of the Figure 1 sequence, followed by a stop codon.

The resulting vector was used to transform E. coli W3110 and expression of the fusion protein was induced by reducing the tryptophan concentration followed  
35 by the addition of 3- $\beta$ -indoleacrylic acid. The resulting

protein can be purified using conventional purification techniques and the resulting purified material is available for use in the production of antibodies for diagnostic assays.

5           The complete coding sequence of the  $\beta$ -amyloid precursor protein set forth in Figure 1 was subcloned in two fragments from the deposited  $\lambda$ APCP168i4 clone and prepared for insertion into pSC11 or pUV1 vaccinia virus expression vectors. Briefly, an approximately 1.06  
10 kilobase (kb) EcoRI fragment, spanning amino acid residues 655-751 of the protein illustrated in Figure 1, was cloned into EcoRI-digested plasmid pGEM-3<sup>TM</sup> (available from Promega Biotec) to create an intermediate vector designated p4BI. Subsequently p4BI was digested  
15 with HindIII to remove much of the 3' noncoding sequence of the  $\beta$ -amyloid-related sequence. The resulting vector p4BWRI was digested with EcoRI and treated with calf intestinal alkaline phosphatase prior to ligation to the 2088 bp EcoRI fragment derived from  $\lambda$ APCP168i4 to form  
20 p4T4B. This plasmid was digested with SmaI and XmnI to generate a 2678 bp fragment spanning the complete protein encoding sequence set forth in Figure 1.

          The gene encoded by this SmaI-XmnI fragment was inserted into one of the two well-known vaccinia viral  
25 vectors, pSC11 and pUV1, for subsequent expression of the  $\beta$ -amyloid precursor protein in CV-1 monkey kidney cells using a eucaryotic transient expression system as described by Cochran, M.A., et al., Proc Natl Acad Sci USA (1985) 82:19-23. More commonly, these vectors are  
30 used for in vivo protein and antibody production in animals after its sequences have been inserted into the vaccinia virus genome.

          Similarly, mammalian vectors can be utilized for expression of the  $\beta$ -amyloid core protein or  $\beta$ -amyloid  
35 precursor proteins described herein. A useful mammalian



vector utilizing human  $\beta$ -actin as a promoter is shown within Figure 10. The details with respect to a description of the vector shown within Figure 10 are as follows:

- 5           Base pairs 1-4300 are the 4.3 kb EcoRI-AluI fragment from the human  $\beta$ -actin gene isolate pl4T $\beta$ -17 (Leavitt et al., Mol. Cell. Biol. (1984) 4:1961-1969). For sequencing details of the promoter, see Ng et al., Mol. Cell. Biol. (1985) 5:2720-2732. The cap  
10 site, 5' untranslated region and IVS 1 positions are indicated. There is no ATG codon present in the 5'UT nor in the poly-linker region from the 3' splice site to the BamHI site.
- 15           Base pairs 4300-4320 are in part derived from pSP64 poly-linker (Melton et al., Nucl. Acids Res. (1984) 12:7035-7056).
- 20           Base pairs 4320-6600 are derived from pcDV1 (Okavama & Berg, Mol. Cell. Biol. (1983) 3:280-289) and contains the pBR322 Amp<sup>R</sup> gene and bacterial origin plus the SV40 late region polyadenylation signal.
- 25           Base pairs 6600-10000 are the PvuII-EcoRI fragment from pSV2-neo (Southern & Berg, J. Mol. App. Genet. (1982) 1:327-341) containing the bacterial neo gene linked to the SV40 ori plus early promoter.
- Direction of transcription is as indicated. The vector can be used for efficient protein expression in CHO cells.

30           An example of another potentially useful vector is plasmid phGH-SV (10) (a plasmid described in EPA 217,822, published 15 April 1987, and incorporated herein by reference) contains a pUC8 plasmid backbone, hMT-IIa gene promoter and regulator elements, SV-40 DNA promoter and enhancer elements, and the coding portions of the hGH gene and 3' regulatory sequences. This plasmid can be  
35 digested with BamHI and SmaI and treated with BamHI

linkers to delete the human growth hormone protein encoding sequence and leaving the 3'-noncoding sequences and regulatory elements attached to the plasmid backbone. This approximately 5100 base pair DNA piece is gel  
5 purified and ligated to BamHI linkers.

Digestion with BamHI, repurification of the DNA fragment and subsequent ligation result in a plasmid designated pMTSV40 polyA Bam which contains the structural and regulatory elements comprising a mammalian  
10 cell expression vector. After BamHI digestion of pMTSV40 polyA BamHI and repair in the presence of DNA polymerase I and all four dNTPs, this vector is available for insertion of the ~2678 bp SmaI-XmnI restriction fragment of plasmid p4T4B. The vector can then be used for  
15 efficient protein expression in CHO cells.

#### 5.5. Promoter/A4 Sequence Fusion Constructs

Eight different fusion constructs were prepared by fusing one of two different promoters to different DNA  
20 sequences which code for  $\beta$ -amyloid precursor proteins. The promoter sequences used were mouse metallothionein-I (MT) and rat neural-specific enolase (NSE). These promoters or other useful promoters known to those skilled in the art can be linked to various A4 sequences  
25 of the type described above.

Some useful neural-specific promoters which can be used to create constructs and inserted into transgenic mice in connection with the present invention are as follows:

30 (1) Neurofilament M or L promoters. These promoters demonstrate a high level of expression and are found in connection with the most abundant neural protein. They are characterized by CNS/PNS neuronal-specific expression and have been used in connection with  
35 transgenic expression. The mouse gene for this promoter

is a published sequence and the isolation of the promoter region is necessary in order to use the promoter in connection with the present invention.

(2) Glial fibrillary acidic protein (GFAP)  
5 promoter sequences. Such promoters are characterized by murine specificity and CNS/PNS glial-specific expression. The promoter has been characterized and is available.

(3) Growth associated protein 43 (GAP 43) is  
10 also characterized by CNS/PNS neuronal-specific expression. The promoter is expressed developmentally and upon induced injury. The promoter within a rat has been characterized and is available.

(4) Nerve growth factor (NGF) promoters are  
15 characterized by PNS developmental expression and CNS maintained expression in the hippocampus and cortex which are the same areas afflicted by Alzheimer's disease. The mouse gene promoter is published and isolation of this promoter is possible and necessary for use in connection with the present invention.

20 (5) The JC Virus T antigen can be used. The human papilloma virus has neuronal tropism. The T Ag promoter is characterized and is available.

(6) pp60<sup>C-src</sup> demonstrates 10x higher  
25 expression levels in CNS as compared with its expression in non-neuronal cells. The regions of the CNS expression are confined to specific brain regions and the promoter has been characterized.

(7) N-CAM - Neural cell adhesion molecule  
demonstrates murine neuronal-specific expression.

30 While not wishing to be bound to any particular theory regarding the pathology of Alzheimer's disease, it is postulated that the ratio of the amount of A751 to the amount of A659 precursors which are unique to the nervous system may be in a state of imbalance, giving rise to  
35 Alzheimer's pathology. This imbalance (resulting from

over- or under-expression of one precursor) might create a condition for amyloid to form in abundance and create the plaques. Based on this theory, constructs were designed to express either the A751 or A695  $\beta$ -amyloid protein precursors.

Alternatively, Alzheimer's pathology may result from inadequate or incomplete catabolism of the carboxy tail of the  $\beta$ -amyloid precursor protein (A99 sequences) which is released when A695 and A751 are processed to soluble extracellular proteins (see Weidemann, A., et al., Cell, (1989) 57:115-126). Based on this theory, constructs were designed to express the carboxy tail (A99) or the  $\beta$ -amyloid core protein (A-42). All constructs were designed to permit expression of the construct either specific to neural tissues (NSE) or ubiquitously expressed in all types of tissue (MT promoters).

The following fusion constructs are preferred examples of constructs useful in connection with the present invention:

<u>Promoter</u>	<u>A4 Sequence</u>	<u>Figure</u>
NSE	A42 core domain	4
NSE	A99 carboxy tail	4
NSE	A751	5
NSE	A695	5
NSE	A4i	
MT	A751	6
MT	A695	6
MT	A42 core domain	7
MT	A99 carboxy tail	7
MT	A4i	

As indicated above, Figures 4-7 and 10 detail the cloning strategies used for producing the different fusion constructs. It should be pointed out that  $\beta$ -actin A42 and  $\beta$ -actin A99 plasmids served as the source for the A42 and A99 sequences, respectively, for the

constructions. Complete coding fidelity was maintained in the A42 and A99 constructs; each was simply proceeded by a methionine initiator codon.

5 The  $\beta$ -actin A751 and  $\beta$ -actin A695 vectors were used to prepared the other NSE promoter constructs. All of the plasmid constructions have been confirmed by restriction map analysis. All of the constructs have been definitively confirmed by DNA sequence analysis of the cloning junctions.

10 The MT-I promoter used for the fusion constructs was derived using synthetic oligonucleotides. The MT-1 promoter is sequenced and described in detail by Swanson, L.W. et al., Novel Developmental Specificity in the Nervous Systems of Transgenic Animals Expressing Growth  
15 Hormone Fusion Genes, Nature (1985) 317:363-366, incorporated herein by reference to describe the MT-I promoter. The MT-I promoter region encompasses 373 basepairs and can be constructed by synthesis and ligation of DNA oligomers.

20 Five pairs of oligomers 70-80 nucleotides in length are used. The resultant 373-basepair fragment can be cloned and sequenced. The MT-I promoter can also be isolated by selectively amplifying this region of the mouse genome using the polymerase chain reaction (PCR) method. The  
25 amplified fragment can be cloned and characterized by DNA sequence analysis.

The MT-I promoter is sequenced and described in detail by Swanson, L.W. et al., Novel Developmental Specificity in the Nervous System of Transgenic Animals  
30 Expressing Growth Hormone Fusion Genes, Nature (1985) 317:363-366, incorporated herein by reference to disclose the MT-I promoter.

Two different approaches can also be used to isolate the rat neuronal-specific enolase promoter (NSE).  
35 The nucleotide sequence of the promoter region has been

published. Accordingly, it is possible to design synthetic oligonucleotides for use in PCR and for screening genomic libraries. Oligonucleotide primers were used to selectively amplify the region of interest  
5 using PCR and rat genomic DNA. A 1.15 kilobasepair fragment was isolated and cloned. The same oligonucleotide has been employed as a probe to screen rat genomic libraries.

The MT-I promoter was proven functional by  
10 assessing the transient expression of a construct bearing the CAT reporter gene (that is, the reporter gene chloramphenicol acetyltransferase), after transfection of the DNA into CHO cells.

The NSE promoter can also be isolated from a  
15 genomic clone purified from a buffalo rat DNA library using oligonucleotide probes designed from the published sequence of the promoter (Sakimura et al., Gene (1987) 60:103-113) which is incorporated herein by reference to disclose such a promoter.

20 A promoter region fragment of 2.3 kilobases including a 1.2 kilobase intron in the 5'-untranslated region was prepared from 11 kb clone using polymerase gene reaction amplification. The PCR strategy and oligonucleotide primers used are described in Figure 9.

25 The 3'-terminal primer for the NSE promoter fragment plus intron-harbored 2 nucleotide substitutions to create a NcoI site for cloning purposes, as well as to introduce the initiator methionine codon for the A4 sequences. It is pointed out that polymerase chain reaction  
30 amplification is reported to have a low error rate.

#### 5.6. Transgenic Organisms

The transgenic organisms of the invention all include within a plurality of their cells a cloned  
35 recombinant or synthetic DNA sequence which is believed

to relate to the pathogenesis of Alzheimer's Disease. More specifically, the transgenic organisms contain specific sequences of exogenous genetic material, such as the sequences described above in detail which are

5 comprised of a tissue specific promoter sequence and a sequence which encodes for production of a  $\beta$ -amyloid precursor protein. Since it is possible to produce transgenic organisms of the invention utilizing one or more of the above-described sequences, a general

10 description will be given of the production of transgenic organisms by referring generally to exogenous genetic material. This general description can be adapted by those skilled in the art in order to incorporate the above-described specific DNA sequences into organisms and

15 obtain expression of those sequences utilizing the methods and materials described below. For more details regarding the production of transgenic organisms, and specifically transgenic mice, refer to U.S. Patent 4,873,191, issued October 10, 1989 (incorporated herein

20 by reference to disclose methods producing transgenic mice), and to the numerous scientific publications referred to and cited therein.

The exogenous genetic material may be placed in either the male or female pronucleus of the zygote. More

25 preferably, it is placed in the male pronucleus as soon as possible after the sperm enters the egg. In other words, right after the formation of the male pronucleus when the pronuclei are clearly defined and are well separated, each being located near the zygote membrane.

30 The male pronucleus of a fertilized mouse egg is the preferred site for addition of the exogenous genetic material of the present invention.

It is most preferred that the exogenous genetic material be added to the male DNA complement of the

35 zygote prior to its being processed by the ovum nucleus

or the zygote female pronucleus. It is thought that the ovum nucleus or female pronucleus release molecules which affect the male DNA complement, perhaps by replacing the protamines of the male DNA with histones, thereby  
5 facilitating the combination of the female and male DNA complements to form the diploid zygote.

Thus, it is preferred that the exogenous genetic material be added to the male complement of DNA or any other complement of DNA prior to its being affected by  
10 the female pronucleus. For example, the exogenous genetic material is added to the early male pronucleus, as soon as possible after the formation of the male pronucleus, which is when the male and female pronuclei are well separated and both are located close to the cell  
15 membrane. Alternatively, the exogenous genetic material could be added to the nucleus of the sperm after it has been induced to undergo decondensation. Sperm containing the exogenous genetic material could then be added to the ovum or the decondensed sperm could be added to the ovum  
20 with the exogenous genetic material being added as soon as possible thereafter.

For the purposes of this invention a zygote is essentially the formation of a diploid cell which is capable of developing into a complete organism.  
25 Generally, the zygote will be comprised of an egg containing a nucleus formed, either naturally or artificially, by the fusion of two haploid nuclei from a gamete or gametes. Thus, the gamete nuclei must be ones which are naturally compatible, i.e., ones which result  
30 in a viable zygote capable of undergoing differentiation and developing into a functioning organism. Generally, a euploid zygote is preferred. If an aneuploid zygote is obtained, then the number of chromosomes should not vary by more than one with respect to the euploid number of  
35 the organism from which either gamete originated.



In addition to similar biological considerations, physical ones also govern the amount of exogenous genetic material which can be added to the nucleus of the zygote or to the genetic material which forms a part of the zygote nucleus. If no genetic material is removed, then the amount of exogenous genetic material which can be added is limited by the amount which will be absorbed without being physically disruptive. Generally, the volume of exogenous genetic material inserted will not exceed about 10 picoliters. The physical effects of addition must not be so great as to physically destroy the viability of the zygote. The biological limit of the number and variety of DNA sequences will vary depending upon the particular zygote and functions of the exogenous genetic material and will be readily apparent to one skilled in the art, because the genetic material, including the exogenous genetic material, of the resulting zygote must be biologically capable of initiating and maintaining the differentiation and development of the zygote into a functional organism.

The number of copies of the DNA sequences which are added to the zygote is dependent upon the total amount of exogenous genetic material added and will be the amount which enables the genetic transformation to occur. Theoretically only one copy is required; however, generally, numerous copies are utilized, for example, 1,000-20,000 copies of a gene, in order to insure that one copy is functional. As regards the present invention, there is generally an advantage to having more than one functioning copy of each of the inserted exogenous DNA sequences to enhance the phenotypic expression of the exogenous DNA sequences (i.e., to obtain ubiquitous expression of the  $\beta$ -amyloid related precursor proteins and protease inhibitor proteins).

Any technique which allows for the addition of the exogenous genetic material into nucleic genetic material can be utilized so long as it is not destructive to the cell, nuclear membrane or other existing cellular or genetic structures. The exogenous genetic material is preferentially inserted into the nucleic genetic material by microinjection. Microinjection of cells and cellular structures is known and is used in the art.

The transgenic mammals produced in accordance with the present invention will include exogenous genetic material. The exogenous genetic material will be a DNA sequence which results in the production of a  $\beta$ -amyloid related protein. Further, the sequence will be attached to a promoter which promoter preferably allows the expression of the  $\beta$ -amyloid related protein in a specific type of cell such as a nerve cell and may include a promoter which allows expression within a particular type of nerve cell.

In some preferred embodiments of the invention the transgenic animal includes a cell specific promoter in connection with a DNA sequence which results in the production of a  $\beta$ -amyloid precursor protein. A number of examples of such precursor proteins are disclosed and described. As a particularly preferred example of a transgenic mammal of the invention there is provided transgenic mammals which include DNA sequences capable of producing all or any of the proteins as described above in Section 5.5. The sequences are preferably included in connection with a promoter as also shown within Section 5.5. However, other promoters which allow for cell-specific expression and particularly nerve cell-specific and still more particularly certain types of nerve cell-specific expression are preferred embodiments of the invention. In yet another preferred embodiment of the invention the transgenic mammal includes a sequence which

is capable of producing the A4i protein. This unique sequence may be produced in connection with all types of promoters. Transgenic mammals containing sequences capable of expressing A4i proteins were not known prior to the present invention. Particularly preferred embodiments of the invention include sequences capable of producing such A4i proteins which sequences are connected to cell-type specific promoters such as nerve cell-specific promoters and still more particularly in connection with particular types of nerve cell-specific promoters.

#### 5.7. Methods and Materials

Most of the techniques which are used to transform cells, construct vectors, extract messenger RNA, prepare cDNA, and the like are widely practiced in the art, and most practitioners are familiar with the standard resource materials as well as specific conditions and procedures. However, for convenience, the following paragraphs may serve as a guideline.

##### 5.7.a. Hosts and Control Sequences

Both procaryotic and eucaryotic systems may be used to express the  $\beta$ -amyloid core and  $\beta$ -amyloid-related sequences; procaryotic hosts are, of course, the most convenient for cloning procedures. Procaryotes most frequently are represented by various strains of E. coli; however, other microbial strains may also be used. E. coli strains may secrete the  $\beta$ -amyloid core and  $\beta$ -amyloid precursor proteins to the periplasm when the genes encoding these proteins are fused to appropriate signal peptides, and certain E. coli strains, for example, a lipoprotein mutant strain such as JE5505 (Kanamari, T. Gene (1988) 66:295-300), will excrete the chimeric proteins directly to the culture medium.

Plasmid vectors which contain replication sites, selectable markers and control sequences derived from a species compatible with the host are used; for example, E. coli is typically transformed using derivatives of pBR322, a plasmid derived from an E. coli species by Bolivar, et al., Gene (1977) 2:95. pBR322 contains genes for ampicillin and tetracycline resistance, and thus provides multiple selectable markers which can be either retained or destroyed in constructing the desired vector. Commonly used procaryotic control sequences which are defined herein to include promoters for transcription initiation, optionally with an operator, along with ribosome binding site sequences, include such commonly used promoters as the  $\beta$ -lactamase (penicillinase) and lactose (lac) promoter systems (Chang, et al., Nature (1977) 198:1056) and the tryptophan (trp) promoter system (Goeddel, et al. Nucleic Acids Res (1980) 8:4057) and the lambda derived  $P_L$  promoter and N-gene ribosome binding site (Shimatake, et al., Nature (1981) 292:128). Other procaryotic control sequences include signal sequences which direct secretion of a protein to the periplasm. Commonly used bacterial signal peptides include the ompA (Kikuchi, et al., Nucleic Acids Res (1981) 9:5671-5678) and phoA (Beck and Bremer, Nucleic Acids Res (1980) 8:3011-3024) signal peptides which can be fused to the protease inhibitor sequence of the invention.

In addition to bacteria, eucaryotic microbes, such as yeast, may also be used as hosts. Laboratory strains of Saccharomyces cerevisiae, Baker's yeast, are most used although a number of other strains or species are commonly available. Vectors employing, for example, the 2 m origin of replication of Broach, J. R., Meth Enz (1983) 101:307, or other yeast compatible origins of replication (see, for example, Stinchcomb, et al., Nature

(1979) 282:39, Tschumper, G., et al., Gene (1980) 10:157 and Clarke, L., et al., Meth Enz (1983) 101:300) may be used. Control sequences for yeast vectors include promoters for the synthesis of glycolytic enzymes (Hess, 5 et al., J Adv Enzyme Reg (1968) 7:149; Holland, et al., Biochemistry (1978) 17:4900). Additional promoters known in the art include the promoter for 3-phosphoglycerate kinase (Hitzeman, et al., J Biol Chem (1980) 255:2073). Other promoters, which have the additional advantage of 10 transcription controlled by growth conditions and/or genetic background are the promoter regions for alcohol dehydrogenase 2, isocytochrome C, acid phosphatase, degradative enzymes associated with nitrogen metabolism, the alpha factor system and enzymes responsible for 15 maltose and galactose utilization. It is also believed terminator sequences are desirable at the 3' end of the coding sequences. Such terminators are found in the 3' untranslated region following the coding sequences in yeast-derived genes.

20 It is also, of course, possible to express genes encoding polypeptides in eucaryotic host cell cultures derived from multicellular organisms. See, for example, Axel, et al., U.S. Patent No. 4,399,216. These systems have the additional advantage of the ability to splice 25 out introns and thus can be used directly to express genomic fragments. Useful host cell lines include VERO and HeLa cells, and Chinese hamster ovary (CHO) cells. Expression vectors for such cells ordinarily include promoters and control sequences compatible with mammalian 30 cells such as, for example, the commonly used early and late promoters from Simian Virus 40 (SV 40) (Fiers, et al., Nature (1978) 273:113), or other viral promoters such as those derived from polyoma, adenovirus 2, bovine papilloma virus, or avian sarcoma viruses. The 35 controllable promoter, hMTII (Karin, M., et al., Nature

(1982) 299:797-802) may also be used. General aspects of mammalian cell host system transformations have been described by Axel (supra). It now appears, also that "enhancer" regions are important in optimizing expression; these are, generally, sequences found upstream or downstream of the promoter region in noncoding DNA regions. Origins of replication may be obtained, if needed, from viral sources. However, integration into the chromosome is a common mechanism for DNA replication in eucaryotes.

#### 5.7.b. Transformations

Depending on the host cell used, transformation is done using standard techniques appropriate to such cells. The calcium treatment employing calcium chloride, as described by Cohen, S.N., Proc Natl Acad Sci (USA) (1972) 69:2110, or the  $RbCl_2$  method described in Maniatis, et al., Molecular Cloning: A Laboratory Manual (1982) Cold Spring Harbor Press, p. 254 and Hanahan, D., J Mol Biol (1983) 166:557-580 may be used for procaryotes or other cells which contain substantial cell wall barriers. For mammalian cells without such cell walls, the calcium phosphate precipitation method of Graham and van der Eb, Virology (1978) 52:546, optionally as modified by Wigler, M., et al., Cell (1979) 16:777-785 may be used.

Transformations into yeast may be carried out according to the method of Beggs, J.D., Nature (1978) 275:104-109 or of Hinnen, A., et al., Proc Natl Acad Sci (USA) (1978) 75:1929.

### 5.7.c. Vector Construction

Construction of suitable vectors containing the desired coding and control sequences employs standard ligation and restriction techniques which are well understood in the art. Isolated plasmids, DNA sequences, or synthesized oligonucleotides are cleaved, tailored, and religated in the form desired.

The DNA sequences which form the vectors are available from a number of sources. Backbone vectors and control systems are generally found on available "host" vectors which are used for the bulk of the sequences in construction. For the pertinent coding sequence, initial construction may be, and usually is, a matter of retrieving the appropriate sequences from cDNA or genomic DNA libraries. However, once the sequence is disclosed it is possible to synthesize the entire gene sequence in vitro starting from the individual nucleotide derivatives. The entire gene sequence for genes of sizeable length, e.g., 500-1000 bp may be prepared by synthesizing individual overlapping complementary oligonucleotides and filling in single stranded nonoverlapping portions using DNA polymerase in the presence of the deoxyribonucleotide triphosphates. This approach has been used successfully in the construction of several genes of known sequence.

See, for example, Edge, M. D., Nature (1981) 292:756; Nambair, K. P., et al., Science (1984) 223:1299; Jay, Ernest, J Biol Chem (1984) 259:6311.

Synthetic oligonucleotides are prepared by either the phosphotriester method as described by Edge, et al., Nature (supra) and Duckworth, et al., Nucleic Acids Res (1981) 9:1691 or the phosphoramidite method as described by Beaucage, S.L., and Caruthers, M.H., Tet Lett (1981) 22:1859 and Matteucci, M.D., and Caruthers, M.H., J Am Chem Soc (1981) 103:3185 and can be prepared

using commercially available automated oligonucleotide synthesizers. Kinasing of single strands prior to annealing or for labeling is achieved using an excess, e.g., approximately 10 units of polynucleotide kinase to  
5 1 nmole substrate in the presence of 50 mM Tris, pH 7.6, 10 mM  $MgCl_2$ , 5 mM dithiothreitol, 1-2 mM ATP, 1.7 pmoles  $\gamma^{32}P$ -ATP (2.9 mCi/mmole), 0.1 mM spermidine, 0.1 mM EDTA.

Once the components of the desired vectors are thus available, they can be excised and ligated using  
10 standard restriction and ligation procedures.

Site-specific DNA cleavage is performed by treating with the suitable restriction enzyme (or enzymes) under conditions which are generally understood in the art, and the particulars of which are specified by  
15 the manufacturer of these commercially available restriction enzymes. See, e.g., New England Biolabs, Product Catalog. In general, about 1 mg of plasmid or DNA sequence is cleaved by one unit of enzyme in about 20 ml of buffer solution; in the examples herein, typically,  
20 an excess of restriction enzyme is used to insure complete digestion of the DNA substrate. Incubation times of about one hour to two hours at about 37°C are workable, although variations can be tolerated. After each incubation, protein is removed by extraction with  
25 phenol/chloroform, and may be followed by ether extraction, and the nucleic acid recovered from aqueous fractions by precipitation with ethanol. If desired, size separation of the cleaved fragments may be performed by polyacrylamide gel or agarose gel electrophoresis  
30 using standard techniques. A general description of size separations is found in Methods in Enzymology (1980) 65:499-560.

Restriction cleaved fragments may be blunt ended by treating with the large fragment of E. coli DNA  
35 polymerase I (Klenow) in the presence of the four



deoxynucleotide triphosphates (dNTPs) using incubation times of about 15 to 25 min at 20 to 25°C in 50 mM Tris pH 7.6, 50 mM NaCl, 6 mM MgCl<sub>2</sub>, 6 mM DTT and 0.1-1.0 mM dNTPs. The Klenow fragment fills in at 5' single-

5 stranded overhangs but chews back protruding 3' single strands, even though the four dNTPs are present.

If desired, selective repair can be performed by supplying only one of the, or selected, dNTPs within the limitations dictated by the nature of the overhang. After  
10 treatment with Klenow, the mixture is extracted with phenol/chloroform and ethanol precipitated. Treatment under appropriate conditions with S1 nuclease or BAL-31 results in hydrolysis of any single-stranded portion.

Ligations are performed in 15-50 ml volumes  
15 under the following standard conditions and temperatures: for example, 20 mM Tris-HCl pH 7.5, 10 mM MgCl<sub>2</sub>, 10 mM DTT, 33 µg/ml BSA, 10 mM-50 mM NaCl, and either 40 µM ATP, 0.01-0.02 (Weiss) units T4 DNA ligase at 0°C (for "sticky end" ligation) or 1 mM ATP, 0.3-0.6 (Weiss) units  
20 T4 DNA ligase at 14°C (for "blunt end" ligation).

Intermolecular "sticky end" ligations are usually performed at 33-100 µg/ml total DNA concentrations (5-100 nM total end concentration). Intermolecular blunt end ligations are performed at 1 mM total ends concentration.

25 In vector construction employing "vector fragments", the vector fragment is commonly treated with bacterial alkaline phosphatase (BAP) or calf intestinal alkaline phosphatase (CIP) in order to remove the 5' phosphate and prevent self-ligation of the vector.

30 Digestions are conducted at pH 8 in approximately 10 mM Tris-HCl, 1 mM EDTA using about .1 unit of BAP or CIP per mg of vector at 60' for about one hour. In order to recover the nucleic acid fragments, the preparation is extracted with phenol/chloroform and ethanol

35 precipitated. Alternatively, religation can be prevented

in vectors which have been double digested by additional restriction enzyme digestion and separation of the unwanted fragments.

For portions of vectors derived from cDNA or genomic DNA which require sequence modifications, site specific primer directed mutagenesis may be used (Zoller, M.J., and Smith, M. Nucleic Acids Res (1982) 10:6487-6500 and Adelman, J.P., et al., DNA (1983) 2:183-193). This is conducted using a primer synthetic oligonucleotide complementary to a single stranded phage DNA to be mutagenized except for limited mismatching, representing the desired mutation. Briefly, the synthetic oligonucleotide is used as a primer to direct synthesis of a strand complementary to the phage, and the resulting partially or fully double-stranded DNA is transformed into a phage-supporting host bacterium. Cultures of the transformed bacteria are plated in top agar, permitting plaque formation from single cells which harbor the phage.

Theoretically, 50% of the new plaques will contain the phage having, as a single strand, the mutated form; 50% will have the original sequence. The resulting plaques are washed after hybridization with kinased synthetic primer at a wash temperature which permits binding of an exact match, but at which the mismatches with the original strand are sufficient to prevent binding. Plaques which hybridize with the probe are then picked, cultured, and the DNA recovered.

#### 30 5.7.d. Verification of Construction

In the constructions set forth below, correct ligations for plasmid construction are confirmed by first transforming E. coli strain MC1061 obtained from Dr. M. Casadaban (Casadaban, M., et al., J Mol Biol (1980) 138:179-207) or other suitable host with the ligation

mixture. Successful transformants are selected by ampicillin, tetracycline or other antibiotic resistance or using other markers depending on the mode of plasmid construction, as is understood in the art. Plasmids from the transformants are then prepared according to the method of Clewell, D.B., et al., Proc Natl Acad Sci (USA) (1969) 62:1159, optionally following chloramphenicol amplification (Clewell, D.B., J Bacteriol (1972) 110:667). Several mini DNA preps are commonly used, e.g., Holmes, D.S., et al., Anal Biochem (1981) 114:193-197 and Birnboim, H.C., et al., Nucleic Acids Res (1979) 7:1513-1523. The isolated DNA is analyzed by restriction and/or sequenced by the dideoxy nucleotide method of Sanger, F., et al., Proc Natl Acad Sci (USA) (1977) 74:5463 as further described by Messing, et al., Nucleic Acids Res (1981) 9:309, or by the method of Maxam, et al., Methods in Enzymology (1980) 65:499.

## 6.0

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EXAMPLES

The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make the DNA sequences, fusion constructs, proteins and transgenic mammals of the invention and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviation should be accounted for. Unless indicated otherwise, parts are parts by weight, temperature is in degrees C, and pressure is at or near atmospheric.

35

## 6.1

EXAMPLE 1Expression of  $\beta$ -Amyloid-Related Protein  
(1-751) in Cultured Mammalian Cells

5           To facilitate the expression of  $\beta$ -amyloid precursor protein in mammalian cells, a plasmid is constructed such that the coding segment for the protein is fused to a powerful regulated promoter derived from the human metallothionine II (hMTII) gene. This procedure  
10 is performed in two steps. First an expression vector pMTSV40 polyA Bam was derived from phGH-SV(10) vector by digestion of phGH-SV(10) with BamHI and SmaI restriction enzymes, followed by incubation with DNA polymerase I (Klenow fragment) in order to create blunt-ended  
15 molecules. The blunt ends are subsequently ligated to BamHI linkers, cut with BamHI, and religated to allow for recircularization. This step removes all of the human growth hormone genomic sequence from phGH-SV(10) except for most of the 3' untranslated region of the mRNA and  
20 genomic sequences encoding putative 3' transcriptional stop and processing signals. For the mammalian cell expression construct, pMTSV40 polyA Bam is BamHI-digested, then incubated with all four nucleotide triphosphates and with DNA polymerase I to create blunt  
25 ends. This fragment is subsequently ligated with the purified 2678 bp SmaI-XmnI fragment derived from p4T4B. The recombinant molecules are introduced into MC1061 by transformation.

          Chinese hamster ovary (CHO)-K1 cells are grown  
30 in a medium composed of a 1:1 mixture of F12 medium and DME medium with 10% fetal calf serum. The competent cells are co-transformed with the recombinant expression vector and pSU2:NEO (Southern, P., et al., J Mol Appl Genet (1982) 1:327-341). pSV2:NEO contains a functional  
35 gene conferring resistance to the neomycin analog G418.

In the transformation, 500 ng of pSV2:NEO and 5  $\mu$ g of the recombinant vector are applied to a 60 mm dish of CHO cells as a calcium phosphate-DNA co-precipitate as described by Graham, F.L. and Van der Eb, A.J. Virology 5 (1973) 52:456-467. Growth of the cells in the antibiotic G418 as described by Southern et al. will yield a pool of stably transfected CHO cells containing expression vector DNA with the capacity to express  $\beta$ -amyloid-related mRNA and protein.

10

## 6.2

EXAMPLE 2Expression of  $\beta$ -Amyloid Precursor in Mammalian Cells

Outlined in Example 1 is the construction of an expression system for the  $\beta$ -amyloid precursor protein (1-751) driven by the human promoter. A nearly identical construct was prepared using the purified 2548 bp SmaI-XmnI fragment derived from p4T4B from which 116 bp from the 5' untranslated region have been deleted. This fragment was inserted into the SalI site behind the human promoter on a plasmid harboring the neomycin selectable marker for mammalian cell expression and the ampicillin resistance gene for selection of bacterial transformants. This vector, pHbAPr-1-neo, has been described by Gunning, et al., (Proc Nat'l Acad Sci USA (1987) 84:4831-4835) and has been modified to remove the EcoRI site from the body of the original vector and to substitute the original polylinker region with a new polylinker containing an EcoRI site in addition to the SalI, HindIII, and BamHI cloning sites originally present. The modified vector is referred to as pAXneoR. The pAXneoR vector was linearized with SalI, the termini filled in using Klenow fragment of DNA polymerase to create blunt-ended molecules. The 2548 bp SmaI-XmnI  $\beta$ -amyloid fragment was blunt-ligated into the vector using T4 ligase. The

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recombinant molecules were introduced into E. coli MC1061 by transformation and a clone displaying the proper orientation was amplified. A similar construction was made using the 695  $\beta$ -amyloid sequences described by Kang  
5 et al. (supra) which places the 695 amyloid protein under control of the human promoter.

600  $\mu$ g total DNA of pAXneo/751  $\beta$ -amyloid or pAXneo/695  $\beta$ -amyloid or an equal mass mixture of both plasmid constructs were introduced into  $10^7$  CHO cells by  
10 electroporation (Neumann, J Membrane Biol (1972) 10:279-290; Zimmerman, Biophys J (1973) 13:1005-1013) using a BTX Transfector 100, Bio-Rad sterile, disposal cuvettes and a custom built cuvette holder. G418-resistant cells receiving the exogenous DNA were selected by standard  
15 protocols (Southern, 1982, supra) using 500  $\mu$ g/ml G418 from Gibco.

The pool of positively transfected cells resistant to G418 from each of the three transfections was characterized with respect to  $\beta$ -amyloid precursor  
20 protein expression. Approximately  $2 \times 10^6$  cells from each pool containing 5 ml of serum-free medium were incubated at 37°C for 48 hr. The conditioned media was removed and the protein precipitated by addition of trichloroacetic acid to a final concentration of 10%.

25 Cells were harvested by scraping, washed in saline buffered with phosphate and resuspended in 50  $\mu$ l of buffer for a 30-fold concentration. 25  $\mu$ l of each sample was loaded onto a 12.5% polyacrylamide gel (Laemmli, Nature (1970) 277:680-685). The  $\beta$ -amyloid precursor was  
30 detected by Western blot analysis (Towbin, Proc Nat'l Acad Sci USA (1979) 76:4350-4354) using  $\beta$ -amyloid-specific polyclonal antibodies generated by recombinant vaccinia virus harboring the  $\beta$ -amyloid 751 cDNA by using standard procedures. Typically, the majority of the  
35 approximately 110,000 dalton  $\beta$ -amyloid precursor is found

to be released into the culture media and very small amounts of the protein is cell-associated. This result is in keeping with the hypothesis of Allsop, et al., (Proc Natl Acad Sci USA (1988) 85:2790-2794) proposing  
5 that the  $\beta$ -amyloid protein is a secreted prohormone. The apparent molecular weight of 110,000 daltons of the recombinantly expressed  $\beta$ -amyloid protein is similar to that observed by others (Dyrks, T., et al., EMBO J (1988) 7(4):949-957) using in vitro transcription/translation  
10 systems and using cells in culture (Weidemann, A., et al., Cell 57, 115-126 (1989)).

## 6.3

EXAMPLE 3

15       Assay to Distinguish Genetic Variants of  
           $\beta$ -Amyloid-Related Protein mRNA Species

The ability to distinguish between genetic variants of  $\beta$ -amyloid precursor protein mRNA species using oligonucleotide probes is demonstrated herein. This  
20 diagnostic assay can distinguish between two closely related genetic variants of  $\beta$ -amyloid precursor proteins or their mRNAs, and quantitate the relative levels of expression of these proteins or mRNAs.

Total cellular RNA or cytoplasmic RNA was  
25 prepared from human cells in culture or human brain tissue (Alzheimer's brain or normal brain) with or without removal of nuclei (cytoplasmic or total, respectively) by the guanidine thiocyanate/CsCl method as described by Maniatis et al. RNA was fractionated by  
30 oligo-dT cellulose chromatography, electrophoresed on a formaldehyde agarose gel, and blot-transferred to nitrocellulose (all as described in Maniatis et al.) Filters were baked, prehybridized and hybridized to the indicated probes according to standard protocols.

Oligonucleotide probes were end-labeled with [<sup>32</sup>P]-dCTP by incubation with terminal transferase according to manufacturer's suggestions and as described by Ponte et al. Nature 331,525,527 (11 February 1988) which is incorporated herein by reference to disclose such end-labeling. Actin insert was radiolabeled with [<sup>32</sup>P]-CTP by nick-translation. After hybridization, the filters hybridized to oligonucleotides were washed at 1 x SSC, 55°C. The filter hybridized to actin was washed at 0.1 x SSC at 55°C. Filters were then exposed to X-ray film to produce the autoradiogram shown. The insert probe detects the  $\beta$ -amyloid precursor protein mRNA described in Figure 1 in all samples examined. The junction probe detects the  $\beta$ -amyloid-related mRNA described by Kang et al. in all cells except HeLa and MRC5. The actin probe is a control which is expected to hybridize to an abundant RNA in all cells.

## 6.4

20

EXAMPLE 4Construction of the NSE-A42 and A99Transgenic Expression Plasmids

A42 and A99 sequences were derived from the  $\beta$ -actin A42 and A99 expression plasmids described in United States patent application serial number 07/408,767. Specifically, the  $\beta$ -actin A42 and the beta-actin A99 plasmids were digested with NcoI and EcoRI releasing the  $\beta$ -actin promoter region as well as the SV2 neo promoter region. In addition, by digesting with EcoRI, five amino acids of A42 or A99 were removed which can be replaced using a synthetic polylinker. The A42 or A99 plasmid deleted for the  $\beta$ -actin and SV2 neo promoters was purified by agarose gel electrophoresis. A synthetic oligonucleotide polylinker which generates multiple cloning sites as well as the five amino acids for A42 and



A99 was synthesized and ligated to the A42 or A99 plasmid fragment generated earlier by NcoI and EcoRI digestion. Addition of the polylinker deletes the NcoI site in the original plasmid and moves this site to within the polylinker, and replaces the EcoRI site within the A42 or A99 sequences. After ligation of the polylinker to the A42 or A99 plasmid fragment, plasmid DNA was prepared. The new plasmid was next cleaved with BglII and NcoI. Both of these sites were synthesized into the newly added polylinker region. It is in the site generated by BglII-NcoI digestion that the NSE promoter will be added.

The NSE promoter was isolated from a rat genomic library. An 11 kb genomic EcoRI fragment was selected using hybridization with oligonucleotides designed from the known NSE promoter region sequence. The 11 kb genomic EcoRI NSE promoter fragment was cloned into a pUC plasmid and it was from this genomic fragment that the desired promoter region was isolated using PCR amplification as outlined in Fig. 9. A large portion of the promoter region containing an intron in the 5' untranslated region of the NSE gene was produced by PCR amplification using oligonucleotide primers with BglII and NcoI restriction sites at the 5'- and 3' termini, respectively. The NSE promoter fragment was purified by gel electrophoresis and then cloned into the BglII-NcoI polylinker region of the A42 or A99 plasmid generated earlier. Standard molecular recombinant techniques were used to digest, ligate and isolate the fragments. DNA sequencing documented the fidelity of the NSE-A42 and NSE-A99 expression plasmids.

30

35

## 6.5

EXAMPLE 5Construction of the NSE-A695 and the NSE-A751Transgenic Expression Plasmids

5           The NSE-A99 plasmid made in Example 4 was used  
to prepare NSE-A695 and NSE-A751 expression plasmids by  
removing the A99 sequences and replacing them with either  
A695 or A751 sequences. To remove the A99 sequences from  
the NSE-A99 plasmid, the plasmid was digested with NcoI  
10 followed by mung bean nuclease digestion. The mung bean  
nuclease treatment generates a blunt end at the NcoI site  
for further cloning purposes. The plasmid was next  
digested with HindIII. This site is within the polylinker  
region 3' to the A99 sequences causing the release of the  
15 A99 coding fragment. The remaining plasmid containing  
the NSE promoter, the plasmid sequences, the poly A  
addition site, and part of the polylinker region was  
purified by gel electrophoresis. Next, the A695 and the  
A751 fragments were prepared for cloning into the A99-  
20 deleted NSE plasmid. The A695 and the A751 sequences  
were derived from  $\beta$ -actin A695 and  $\beta$ -actin A751  
expression plasmids. To remove the 695 and 751  
sequences, the plasmids were digested with NruI and  
HindIII. The NruI site is immediately 5' to the  
25 initiating methionine codon of 695 and 751. The HindIII  
site is located in the polylinker region 3' to the  
termination codon of 695 and 751. The NruI-HindIII  
fragment containing A695 or A751 sequences was cloned  
into the blunted NcoI-HindIII treated A99-deleted NSE  
30 plasmid. The final plasmids, NSE-A695 and NSE-A751, were  
documented as correct by DNA sequencing of the cloning  
junctions. These plasmids were proven to express 695 and  
751 protein after transfection into mammalian cells.

## 6.6

EXAMPLE 6Preparation of the Metallothionein A42 and  
Metallothionein A99 Transgenic Expression Plasmids

5           A synthetic metallothionein I mouse promoter  
designed from the published sequence was prepared using  
oligonucleotide synthesis and ligation. The synthetic  
metallothionein or MT promoter was cloned into pUC19. To  
prepare the MT-A99 and the MT-A42 transgenic expression  
10           plasmids, the A42 and the A99 sequences were isolated  
from the  $\beta$ -actin A42 and A99 expression plasmids,  
respectively. The A42 and A99 sequences were excised  
from the  $\beta$ -actin expression plasmid using digestion with  
SalI and BamHI for A42 and SalI and HindIII for A99. The  
15           SalI site common to A42 and A99 is 5' to the initiating  
methionine of A42 and A99. The BamHI site and the  
HindIII sites are both contained in the polylinker region  
3' to the A42 and A99 coding sequences. The SalI-BamHI  
fragment for A42 and the SalI-HindIII fragment for A99  
20           were purified by gel electrophoresis. The synthetic MT  
promoter fragment was isolated by digestion of the pUC  
plasmid with EcoRI and SalI. The EcoRI-SalI MT promoter  
fragment was ligated with the SalI-BamHI A42 fragment.  
Similarly, the EcoRI-SalI MT promoter fragment was  
25           ligated to the SalI-HindIII fragment of A99. The MT  
promoter-A42 and the MT promoter-A99 fragments were then  
cloned into a plasmid backbone for a complete expression  
plasmid. The plasmid backbone used is the parent  $\beta$ -  
actin vector from which the modified pAXneoR plasmid was  
30           derived. The plasmid vector was prepared for cloning by  
digestion with EcoRI and BamHI for insertion of the RI-  
BamHI-MT-A42 fragment or the plasmid backbone was  
digested with EcoRI and HindIII for insertion of the  
EcoRI-HindIII-MT-A99 fragment. Standard methodologies for  
35           recombinant DNA manipulation were used to create the

plasmids. The MT-A42 and MT-A99 transgenic expression plasmids were verified as correct in sequence by DNA sequence analysis.

5

6.7

EXAMPLE 7Construction of MT-A751 and MT-A695Transgenic Expression Plasmids

10 A promoter plasmid was constructed using the synthetic MT-1 fragment earlier described. This plasmid also contains SV40 termination region possessing an intron. Between the promoter and the SV40 termination sequences, there exists an XbaI site. The plasmid was cut at this XbaI site then treated with mung bean nuclease to  
15 create a blunt end. It is in the blunted XbaI site of the MT plasmid that the 751 and 695 sequences will be inserted. 695 and 751 complete coding sequences were derived from the  $\beta$ -actin 695 and the  $\beta$ -actin 751 expression plasmids. To obtain this fragment the  $\beta$ -  
20 actin expression plasmids were digested with NruI and HindIII. The NruI site is immediately 5' to the initiator methionine of A695 and A751. The HindIII site is located 3' prime to the termination codon of A695 and A751 within the polylinker region of the plasmid.  
25 Digestion with NruI creates a blunt terminus. Digestion with HindIII creates a sticky end which was filled in with Klenow to make a blunt ended fragment at both the 3' and 5' termini of 695 and 751. The 695 and the 751 blunt end fragment was cloned into the blunted XbaI  
30 metallothionein SV40 plasmid. The resulting MT-A695 and MT-A751 plasmids were documented as correct in sequence at the cloning junctions by DNA sequence analysis.

Figure 11A shows a schematic diagram of NSE-A751 transgene. The 2.3 kb NSE promoter and associated  
35 5'-untranslated region is indicated by the cross-hatched

box. The intron within the NSE DNA is indicated by lines. A751 sequences are represented by the solid box. The SV40 late region 3'-untranslated region containing polyadenylation signals is indicated by the open box.

5 Dashed lines define plasmid sequences. Restriction endonuclease sites, as well as probes (1-4) used for Southern blot and reverse transcriptase PCR analyses are indicated.

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6.8

EXAMPLE 8Collecting and Injecting the Eggs6.8.a. Procedure

15 Fertilized ova were collected from the oviducts of JU female mice previously mated with males. The ova were collected at an early pronuclear stage wherein the male and female pronuclei are separated and distinguishable within the cytoplasm. The collected ova  
20 were separated from any surrounding cells and materials, properly washed and stored in accordance with procedures known to those skilled in the art. The zygotes were preferably stored in a depression slide containing culture medium overlaid with paraffin oil in an  
25 atmosphere of 5% carbon dioxide, 5% oxygen, and 90% nitrogen (percentages are based on volume) at 37° C.

A fusion construct of NSE with 5' intron connected to A751 was obtained in accordance with the procedure described above and shown within Figure 9. Any  
30 of the above-mentioned fusion constructs can be cloned and included within a fertilized ovum in a manner as described herein. Accordingly, the following is a specific description with respect to A751 but is a generalized procedure applicable with any of the above-  
35 described fusion constructs.

First, the fusion constructs were cloned as schematically shown within Figure 10. After cloning, the fusion constructs were extracted from the host, purified and then digested with the appropriate nuclease in order to remove as much of the undesired bacterial sequences as possible. The extracted plasmids are purified by cesium chloride ethidium bromide density gradient centrifugation followed by extraction of the ethidium bromide and dialysis of the plasmid DNA. After carrying out such extraction and purification processes on any of the cloned sequences, it is possible to obtain relatively purified plasmids containing the desired fusion constructs.

The purified plasmids were then treated with the appropriate endonuclease (SalI and NdeI were used to obtain linear fragments of NSE-A751 or NSE-A695). By utilizing gel electrophoresis the desired fragments were isolated by the use of Gene Clean glass beads (sold by Bio 101, San Diego, California) followed by filtration through a 0.22 $\mu$  membrane.

Purified DNAs obtained were microinjected utilizing injection pipettes with an external diameter of about 1  $\mu$ l. Such pipettes can be prepared from Pyrex tubing as described in Proc. Natl. Sci. U.S.A., 74:5657-5661 (1971). Approximately ten picoliters of the solution containing the fusion constructs (which is approximately 20,000 DNA sequences) was drawn into an injection pipette. The zygote was positioned on a holding pipette which has an external diameter of about 60 to 70 microliters, which pipette can also be prepared in accordance with the procedure of the above-cited publication. The zygote was positioned on the holding pipette so that the male pronucleus was injected with the fusion constructs present in the injection pipette.

All of the zygotes were microinjected, then placed in culture tubes where they were allowed to develop for five days. Suitable conditions for preimplantation development are described within Biol. Reprod. 8:420-426 (1973). Ova which developed to morulae or blastocytes were transplanted into the uteri of F<sub>1</sub> hybrid JU, foster mouse mothers who were at day 3 of pseudopregnancy. These foster mothers carried the implanted embryos to term.

Injection techniques of the type described above and of the type known to those skilled in the art were utilized in order to inject embryos with different conjugates of the invention as shown below within Table 1, wherein experiments 1-5 were carried out using the different conjugates on different numbers of mouse embryos. The expected and actual results of these experiments are tabulated within Table 1 which shows the number of mouse eggs injected, the number of live pups which resulted from the implantation of those eggs and the number of pups which actually turned out to be transgenic, that is, which actually turned out to incorporate the foreign DNA which were injected into the embryos.

-54-

Table 1

EXPERIMENTS

	#1	#2	#3	#4	#5
	NSE/695	NSE/695	NSE/751	NSE/99	MT/99
EXPECTED					
EMBRYOS INJECTED	289	148	233	236	288
LIVE PUPS	7(2.4%)	7(4.7%)	44(19%)	28(12%)	25(8.7%)
TRANSGENIC ANIMALS	3(43%) [1%]	1(14%) [0.6%]	9(20%) [3.8%]	3(11%) [1.3%]	3(12%) [1.0%]
	20-40 (10-20%)				

! % of embryos resulting in live pups.

\* % of live pups who are transgenic.

\*\* % of embryos injected resulting in live transgenic animals.



The transgenic mice obtained by experiments 1-5 as shown in Table 1 above are useful in determining the effectiveness of a drug in decreasing the amount of plaques formed as a result of Alzheimer's disease.

5 Transgenic mice from any one of the experiments can be used in comparison with control mice which are nontransgenic or various combinations of the transgenic mice can be compared with each other and/or with the controls with the object being determining the  
10 effectiveness of one or more drugs in decreasing the amount of plaques formed and thus relating the decrease in the plaques with the effectiveness of the drug in treating Alzheimer's disease.

15

#### EXAMPLE 9

##### Determination of Transgene Copy Numbers

For the following examples, results are described for embryos and mice injected with NSE-A751 DNA.

20

As indicated in Table 1, 9 of 44 mice that developed from embryos injected with NSE-A751 DNA carried the transgene. Breeding of these mice resulted in the establishment of 8 lines; the transgene of one founder was not inherited by progeny. Three pedigrees were  
25 selected for further characterization, including founder 10 (F10), founder 11 (F11) and founder 24 (F24). (Table 2). Transgene copy numbers were estimated by comparison to the endogenous single copy b-APP mouse gene using Southern blot hybridization with an oligonucleotide  
30 probe common to both mouse b-APP and human b-A751 (Figure 11B). Forty mg of tail DNA was digested with BglIII and electrophoresed on an 0.8% agarose gel. Southern blots were prepared and hybridized with an oligonucleotide probe (Figure 11A, probe #1;

35

5'-GGATGTGTACTGTTTCTTCTTCA-3') radiolabeled with  $^{32}\text{P}$  by T4 kinase.

Blots were hybridized at 60°C in 6 X SET (1X SET=0.15M NaCl, 30 mM Tris-HCl pH.0, 2 mM EDTA) with 5 X Denhardt's solution and washed at 60,8C for 40 minutes in 6 X SSC (1X SSC=0.15M NaCl, 0.15M Na citrate). F10, F11, and F24 lines had approximately, 1, 4, and 8 copies of NSE:b-A751 per haploid genome, respectively.

#### 6.8.b. Analysis

##### EXAMPLE 10

##### RNA Expression of Inherited Transgenes

RNA expression of inherited transgenes was studied in three pedigrees. Two mg of total brain RNA was isolated from positive and wild-type control animals, reverse transcribed with oligo dT12-18, and a specific DNA subfragment amplified by polymerase chain reaction (PCR). The reaction was divided into 2 aliquots.

Oligonucleotide primers for the PCR were designed such that only transcripts derived from the transgene would be amplified, i.e., one primer hybridized to the NSE 5'-untranslated region (5'-CACCGCCACCGGCTGAGTCTGCAGTCCTCG-3') and the other the 5'-coding domain of the b-APP (5'-TCTTGCACTGCTTGCGGCCCCGCTTGCAACC-3'). To account for contaminating genomic DNA or unprocessed transcripts, the NSE PCR primer corresponded to a site located upstream from the intron. A predicted 373 basepair fragment was amplified from reverse transcribed DNA prepared from each transgenic animal, but was not amplified from reverse transcribed RNA isolated from wild-type mice. As a control, the second aliquot of the reversed transcribed RNA was amplified with a primer for native b-APP secretory signal (5'-TTGGCACTGCTCCTGCTGGCCGCTGGACG-3')

sequence and the 5'-coding domain b-APP primer described above. In these reactions, both wild-type and transgenic reverse transcribed samples produced the 307 basepair DNA fragment representing amplification from endogenous b-APP RNA.

DNA was electrophoresed on 2% agarose gels, visualized by staining with ethidium bromide, and then Southern blotted and hybridized with a <sup>32</sup>P-labeled oligonucleotide probe to the NSE-A751 fusion sequence (5'-AGATCCCAGCCACCGATGCTGCCCGGTTTG-3').

Blots were hybridized at 65°C in 6 X SET and washed at 65°C for 40 minutes in 4 X SSC. When the PCR reaction products were hybridized with the oligonucleotide probe bridging the junction between NSE and b-A751 sequences, only the products derived from the transgenic brains hybridized with the probe, demonstrating the authenticity of the 373 bp PCR product.

#### EXAMPLE 11

##### Western Blot Analysis

Changes in protein expression in brains of NSE:b-A751 transgenic animals were determined by Western blot analysis. Protein homogenates were made from total brain according to the methods of Shivers, B.D., et al., EMBO J (1988) 7:1365-1370. Fifty mg of each sample was electrophoresed on a 7.5% SDS polyacrylamide gel and transferred to membranes. Western blots were developed using a 1/500 dilution of polyclonal antiserum raised against full length human A695 expressed by recombinant vaccinia virus and <sup>125</sup>I-protein A. Several bands of about 120 kD corresponding to the reported average size of mammalian brain b-APP isoforms were observed in controls and in each transgenic protein homogenate. Slightly increased levels of b-APP were seen in the NSE-A751 samples relative to wild-type samples suggesting

elevated levels of A751 expression in transgenic brains. An accurate assessment of neuronal levels of exogenous A751 expression was difficult to determine, however, due to combined neural and glial expression of endogenous b-APP. Therefore, neuronal levels of exogenous A751 expression were examined by immunocytochemistry of NSE-A751 expression.

#### EXAMPLE 12

##### Histological Analysis of Transgenic Mouse Brains with Monoclonal Antibody 4.1

Monoclonal antibody 4.1 was used for histological analysis of transgenic mouse brains. This antibody recognizes an epitope which been mapped to the N-terminal 10 residues of the  $\beta$ -amyloid protein and has high affinity and specificity for neuritic plaques. Any immunoreactivity was eliminated by preincubating the monoclonal antibody with the synthetic peptide immunogen prior to staining. Briefly, a synthetic peptide corresponding to residues 1-28 of the  $\beta$ -amyloid protein was prepared and self-aggregated by freezing and thawing. The peptide aggregate was mixed with methylated bovine serum albumin and adjuvant for immunizing and boosting mice. Hybridomas from sensitized spleen cells were generated. Clones secreting anti-peptide antibodies were expanded and subcloned by limiting dilution. Brains of NSE-A751 transgenic mice, wild-type mice, and homozygotic and hemizygotic animals from three transgenic lines were analyzed (Table 2). Brains were removed and mixed with 4% paraformaldehyde, embedded in paraffin and 6 mm coronal midbrain sections from made. Sections were deparaffinized, rehydrated, treated for 30 minutes with 0.3%  $H_2O_2$ , then with 80% formic acid for about 2 minutes. Sections were then incubated at 37°C for 30 minutes with 1/20 dilution of conditioned medium from the hybridoma

secreting 4.1 antibody. An anti-mouse avidin-biotinylated horseradish peroxidase (ABC) kit was used according to the manufacturer's suggestion (Vector, Burlingame, CA), and the horseradish peroxidase visualize with 3,3'-diaminobenzidine. Sections were counterstained with hematoxylin and eosin. For staining of full-length b-APP, a 1/400 dilution of antiserum raised against full-length human b-APP695 expressed by recombinant vaccina and an anti-rabbit ABC kit was used. Sections were counterstained with hematoxylin and eosin. Human brain sections were obtained from individual clinically diagnosed with Alzheimer's disease. Human sections were prepared and stained identically as mouse tissue sections except they were treated with 98% formic acid for 10 minutes. For competition experiments, the antibody diluent was preincubated at 4°C for 12 hours the 37°C for 30 minutes with 250 mg/ml 1-28  $\beta$ -amyloid synthetic peptide prior to application. As shown in Figure 12A and 12B, the antibody selectively stains neuritic plaques in human tissue section and immuoreactivity is eliminated by preincubating the monoclonal antibody with the synthetic peptide immunogen prior to staining.

Transgenic and wild-type brain sections were stained in parallel with the 4.1 antibody. Greater amounts of immunoperoxidase reactivity were observed in neurons and throughout the neuropil of transgenic brains compared with brains from wild-type mice.

Figure 12C shows an example of increased neuronal staining in the hippocampal pyramidal cell layer from an animal of NSE-A751 founder 10 pedigree (Figure 12C). Significant staining of neuritic processes was also observed. Enhancement of arborizing neuronal process was most evident in stratum flanking the pyramidal cell layer of the CA-1 and CA-3 regions of transgenic hippocampi. Enhanced staining of neuritic

processes in the deeper cortical layers of transgenic brains was also seen. Neuronal and process staining was fully competed by prior incubation of the antibody with the 28 residue synthetic b-amyloid peptide and was decreased by formic acid treatment. Additionally, as shown in Figure 12D, neuritic staining in transgenic brains was detected using antibodies raised to full-length b-APP indicating the immunoreactive material in neuronal process is full-length b-APP.

Extracellular immunoreactive deposits were also seen in brain sections from the three transgenic lines stained with the 4.1 monoclonal antibody (Figure 13A-D). These deposits are not seen in wild-type animals studied. The deposits vary in size, shape, and frequency. Compact deposits of 10-30mm in diameter are shown in Figure 13A-E. Deposits were observed most frequently in the cortex and hippocampus although they occasionally were seen in the thalamus and striatum. The deposits tend to occur in clusters. On the average 5 deposits were seen in a single whole brain section from NSE-b-A751 founder 10 or 11, however, fifteen 10-50mm sized deposits have been observed in a single section. Amorphous or granular immunoreactive extracellular deposits were also seen in transgenic brains section stained with the 4.1 monoclonal antibody and not in control brain sections. Figure 13e shows an example of diffuse  $\beta$ -amyloid immunoreactivity in the hippocampus of an animal from NSE-b-A751 founder 11 line. Detection of extracellular deposits in tissue section from transgenic animals required treatment with formic acid. Staining of the structures was competed by the  $\beta$ -amyloid peptide as seen in Figure 14e. Deposits stained variable with antibodies raised to full length b-APP. Brains from NSE-A751 founders 10 and 11 displayed a greater number of compact deposits than NSE-b-A751

founder 24 (Table 2) even though founder 24 has more copies of the NSE-bA751 transgene.

Table 2

5      Summary of Mice Employed for Immunohistology

	Line	Animal	Sex	Age <sup>1</sup>	Genotype	Copy # <sup>2</sup>	Deposits <sup>3</sup>
10	NSE-751						
	10	0	F	12	Aa	1	+++
		31*	F	7	Aa		++
		168	F	5	Aa		+++
15		334	M	2	AA		+
	11	0	M	15	Aa	4	+++
		51	M	12	Aa		+
		236	M	4	AA		+++
		287	F	3	AA		+
20	24	77	M	8	Aa	8	+
		201	F	5	AA		+
	Wild-						
	type	1	F	4	n.a.	n.a	-
		2	F	4			-
25		3	M	5			-
		4	M	3			-
		5	M	9			-
		6	F	12-			
		7	M	14			-

30

<sup>1</sup>month; <sup>2</sup>haploid; <sup>3</sup>>5mm in size, (-) (+/+/++) relative abundance of deposits, i.e., +, <5; ++, 5-10; +++, >10 deposits per section as an average of multiple sections stained. M and F indicate male and female mice,

35 respectively; AA and Aa represent homozygotic and

hemizygotic animals, respectively; n.a., indicate not applicable. ; \*NSE-A751 F10 #31 died spontaneously of unknown cause.

5           Those mice harboring exogenous genes can be bred to 1) document that the NSE-751 or NSE-695 DNA is stably incorporated into the genome, 2) create a pure line, 3) characterize mRNA and protein expression, 4) ultimately investigate possible pathological consequences of expression of the foreign DNA, and 5) test potential therapeutic compounds.

10           A variety of analytical procedures can be utilized to identify and characterize transgenic animals produced in accordance with the above-described  
15 protocols. It is, of course, necessary to identify which of the transgenic animals include the inserted sequences such as the NSE-A-99, NSE-A-42, MT-A-99 and MT-A-42. These inserts, as well as A4i inserts, can be detected utilizing identical rationale and specific PCR and  
20 Southern blotting oligonucleotide reagents and antibodies for Western blotting. A variety of different techniques and combinations of techniques will become apparent to those skilled in the art upon reading this disclosure.

          Western blotting methods to identify A751 or  
25 A695 precursor in rodent brain have been developed. As an example, a Western blot using antiserum to A695 (raised using the recombinant vaccinia virus system) reacted with total brain homogenate was carried out. A single protein was recognized of 120 kd which is the predicted molecular  
30 weight of the precursor. The membrane to which the protein is transferred for the blot greatly influences protein transfer. For proteins of greater than 30 kd, polyvinylidenedifluoride (PVDF) is optimal, whereas, below 30 kd, nitrocellulose is more efficient. Other available  
35 antisera developed to regions of the amyloid precursor,



in particular, sera to the Kunitz inhibitor domain and to the core domain may be used in Western blot analysis of total brain protein. Additionally, by using procedures known in the art, it is possible to isolate monoclonal antibodies to the precursor. Mice have been immunized with a recombinant vaccinia virus which expresses A751 and were shown to raise antibodies to this protein.

Figure 11B shows a Southern blot of DNA from wild-type and transgenic mice. Lanes 1 and 2, wild-type (WT); lanes 3 and 4, NSE-A751 founder 10 (F10); lanes 5 and 6, NSE-A751 founder 11 (F11); lanes 7 and 8, NSE-A751 founder 24 (F24). The arrow indicates endogenous mouse b-APP gene. Dots indicate exogenous b-APP.

Figure 12 (A, B, C and D) show immunoperoxidase staining of human and mouse brain. Figures 12A and 12B show human Alzheimer's disease tissue section from caudal hippocampus stained with 4.1 antibody without preincubation (12A) and with preincubation (12B) with the b-amyloid synthetic peptide immunogen. Figure 12C shows pyramidal cell layer of hippocampal CA-1 region of NSE-A751 F10 (#334) stained with 4.1 antibody. Figure 12D shows the same region from wild-type mouse (#3) stained with 4.1 antibody. Magnification is 500X.

Figure 13 (A, B, C, D and E) are photomicrographs of immunoreactive deposits in NSE-A751 brains. Figure 13A is a compact deposit in frontal parietal cortex of F11 (#0); Figure 13B is compact deposit in thalamus of F11 (#236); Figure 13C shows compact deposit in hippocampal CA-2 field of F11 (#0); Figure 13D shows cluster of deposits in frontal parietal cortex of F10 (#168); Figure 13E shows amorphous deposits in the hippocampal stratum moleculare of F11 (#236).

## 7. Uses of the Invention

The transgenic mammals of the present invention are useful in determining the effectiveness of pharmaceutical drugs with respect to their ability to decrease the amount of plaques which form within the brain of the animal. More specifically, the mammals are useful in testing the efficacy of such drugs in preventing the formation or reducing the amount of  $\beta$ -amyloid plaques formed as well as eliminating or reducing plaques already formed.

Methods of producing the transgenic animals of the invention have been described above. Once produced, a drug to be tested is administered to a control animal or group of animals which are not the transgenic animals of the invention and simultaneously to transgenic animals of the invention. The drug is preferably continuously administered over a period of time which is normally sufficient to effect the deposition of amyloid protein deposits in the brain of the animal. After administering the drug for a sufficient period of time the control animal(s) along with the transgenic animal(s) are sacrificed. Examination of the brain of the animals is made. By comparing the amount of deposits within the control animal(s) to the amount of deposits within the transgenic mammal(s) of the invention a determination can be made with respect to the effectiveness of the drug in controlling the relative amount of amyloid deposits.

In that the transgenic animals of the invention can be used to test the efficacy of drugs with respect to preventing amyloid deposits the animals are valuable research tools with respect to allowing researchers to test the efficacy of such drugs in treating diseases associated with such amyloid deposits such as Alzheimer's disease. However, it should be pointed out that there are six known instances of disease-associated amyloid

deposits in which the nature of the precursor protein for the amyloid protein is known: for primary amyloidosis, the source is an immunoglobulin light chain; for secondary amyloidosis, the precursor is amyloid A protein; for familial amyloid polyneuropathy and senile cardiac amyloidosis, prealbumin also known as transthyretin or a variant thereof; for medullary carcinoma of thyroid, a procalcitonin fragment; and for hereditary cerebral hemorrhage, gamma-trace fragment which has been shown to be cystatin C. (See, e.g., Glenner, G. New England Journal of Medicine (1980) 302:1283; Sletton, K., et al., Biochem J (1981) 195:561; Benditt, et al., FEBS Lett (1971) 19:169; Sletton, K., et al., Eur J Biochem (1974) 41:117; Sletton, K., et al., J Exp Med (1976) 143:993). The foregoing is a partial list and there are at least a number of additional references with regard to procalcitonin fragment as a precursor for the amyloid of the thyroid carcinoma. Alternatively, or additionally, such a precursor for  $\beta$ -amyloid core protein may be produced in the brain or elsewhere and is specifically deposited in the brain.

The transgenic animals and specifically transgenic mammals of the present invention can be used in determining the efficacy of a variety of drugs in the treatment of a variety of diseases (including those indicated above) which diseases are associated with  $\beta$ -amyloid deposits in the brain. Comparative drug testing protocols known to those skilled in the art can be used in connection with the transgenic mammals of the invention in order to test drugs.

Intraneuronal neurofibrillary tangles are present in other degenerative diseases as well, but the presence of amyloid deposits both in the interneuronal spaces (neuritic plaques) and in the surrounding microvasculature (vascular plaques) seems to be

characteristic of Alzheimer's. Of these, the neuritic plaques seem to be the most prevalent (Price, D.L., et al., Drug Development Research (1985) 5:59-68). Plaques are also seen in the brains of aged Down's Syndrome patients who develop Alzheimer's disease. The transgenic animals of the present invention can be used in connection with determining the efficacy of all types of drugs used in connection with the treatment of diseases associated with amyloid deposits, i.e., Alzheimer's Disease, (Dutch) hereditary cerebral hemorrhage with amyloidosis, and Down's Syndrome.

While preferred embodiments of making and using the invention have been described, it will be appreciated that various changes and modifications can be made without departing from the invention.

#### 8. Deposits

The following cultures have been deposited with the American Type Culture Collection (ATCC), Rockville, MD, USA for patent purposes. Bacteriophage phages  $\lambda$ SM2,  $\lambda$ SM2W9, and  $\lambda$ ACPC168i4 and plasmid pNSE- $\beta$ -APP751 were deposited under the conditions specified by the Budapest Treaty on the International Recognition of the Deposit of Microorganisms (Budapest Treaty).

25

<u>Culture</u>	<u>Accession No.</u>	<u>Deposit Date</u>
$\lambda$ SM2	40279	13 November 1986
SM2W4	40299	29 December 1986
SM2W3	40300	29 December 1986
30 $\lambda$ SM2W9	40304	29 January 1987
$\lambda$ ACPC168i4	40347	1 July 1987
pNSE- $\beta$ -APP751	75012	22 May 1991

Availability of the deposited strains is not to be construed as a license to practice the invention in

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contravention of the rights granted under the authority  
of any government in accordance with its patent laws.

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Claims

What is claimed is:

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1. A transgenic non-human mammal whose cells contain the cloned recombinant or synthetic DNA sequence which sequence comprises:

10 a tissue specific promoter sequence; and  
a sequence which encodes for the production of a  $\beta$ -amyloid precursor protein.

15 2. The transgenic non-human mammal as claimed in claim 1, wherein the promoter is a nerve tissue specific promoter.

20 3. The transgenic non-human mammal as claimed in claim 2, wherein the nerve tissue specific promoter is neuronal specific enolase (NSE).

25 4. The transgenic non-human mammal as claimed in claim 2, wherein the sequence which encodes for the production of a  $\beta$ -amyloid precursor protein is a sequence which encodes for a protein selected from the group consisting of: A42, A99, A695, A751 and A770.

30 5. The transgenic non-human mammal as claimed in claim 1, wherein the sequence which encodes for the production of a  $\beta$ -amyloid precursor protein is a sequence which encodes for A4i.

6. The transgenic non-human mammal as claimed in claim 1, wherein the mammal is a mouse.

35

7. A transgenic non-human mammal whose cells contain a cloned recombinant or synthetic DNA sequence, which sequence comprises:

- 5 a tissue specific promoter sequence; and  
a sequence which expresses a protein having the amino acid sequence:

10

Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His  
20  
Gln Lys Leu Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys

10 30 40 (42)  
Gly Ala Ile Ile Gly Leu Met Val Gly Gly Val Val Ile Ala  
50  
Thr Val Ile Val Ile Thr Leu Val Met Leu Lys Lys Lys Gln  
60 70  
Tyr Thr Ser Ile His His Gly Val Val Glu Val Asp Ala Ala  
80  
15 Val Thr Pro Glu Glu Arg His Leu Ser Lys Met Gln Gln Asn  
90  
Gly Tyr Glu Asn Pro Thr Tyr Lys Phe Phe Glu Gln Met Gln  
99  
Asn.

- 20 8. The transgenic non-human mammal as claimed in claim 7, wherein the tissue-specific promoter sequence is neuronal-specific enolase.

- 25 9. A transgenic non-human mammal whose cells contain a cloned recombinant or synthetic DNA sequence, which sequence comprises:

- a tissue specific promoter sequence; and  
a sequence which expresses and results in a biologically active, refolded protein having the amino  
30 acid sequence:

10

Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His  
20  
Gln Lys Leu Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys

30 40 (42)  
35 Gly Ala Ile Ile Gly Leu Met Val Gly Gly Val Val Ile Ala

-70-

50  
 Thr Val Ile Val Ile Thr Leu Val Met Leu Lys Lys Lys Gln  
 60  
 Tyr Thr Ser Ile His His Gly Val Val Glu Val Asp Ala Ala  
 80  
 Val Thr Pro Glu Glu Arg His Leu Ser Lys Met Gln Gln Asn  
 90  
 Gly Tyr Glu Asn Pro Thr Tyr Lys Phe Phe Glu Gln Met Gln  
 99  
 Asn.

10. The transgenic non-human mammal as claimed  
 10 in claim 9, wherein the tissue-specific promoter sequence  
 is neuronal-specific enolase.

11. A transgenic non-human mammal whose cells  
 contain a cloned recombinant or synthetic DNA sequence,  
 15 which sequence comprises:  
 a tissue-specific promoter sequence; and  
 a sequence which expresses a protein having the  
 amino acid sequence:

10  
 20 Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His  
 20  
 Gln Lys Leu Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys  
 30  
 Gly Ala Ile Ile Gly Leu Met Val Gly Gly Val Val Ile Ala. (42)

25 12. The transgenic non-human mammal as claimed  
 in claim 11, wherein the tissue-specific promoter  
 sequence is neuronal specific enolase.

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13. A transgenic non-human mammal whose cells contain a cloned recombinant or synthetic DNA sequence, which sequence comprises:

- 5 a tissue-specific promoter sequence; and  
a sequence which expresses and results in a biologically active, refolded protein having the amino acid sequence:

10

Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His  
20  
10 Gln Lys Leu Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys  
30 40 (42)  
Gly Ala Ile Ile Gly Leu Met Val Gly Gly Val Val Ile Ala

15 14. The transgenic non-human mammal as claimed in claim 13, wherein the tissue-specific promoter sequence is neuronal specific enolase.

20 15. A transgenic non-human mammal whose cells contain a subfragment of the DNA sequence of Figure 1, wherein the subfragment corresponds to the 168 basepair insert fragment of the  $\beta$ -amyloid-related gene of bacteriophage  $\lambda$ APCP168i4.

25 16. The transgenic non-human mammal of claim 15, wherein the 168 basepair insert fragment expresses the  $\beta$ -amyloid precursor protein which has the amino acid sequence:

30  
GluValCysSerGluGlnAlaGluThrGlyProCysArgAlaMet  
IleSerArgTrpTyrPheAspValThrGluGlyLysCysAla  
ProPhePheTyrGlyGlyCysGlyGlyAsnArgAsnAsnPhe  
AspThrGluGluTyrCysMetAlaValCysGlySerAlaIle.

35 17. The transgenic non-human mammal as claimed in claim 16, wherein the mammal is a mouse.

18. The transgenic non-human mammal as claimed in claim 15, wherein the cloned recombinant or synthetic DNA sequence ubiquitously over-expresses A4i.

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19. A transgenic non-human mammal whose cells contain a cloned recombinant or synthetic DNA sequence, which sequence comprises:

a tissue specific promoter sequence; and

10 a sequence which expresses a protease inhibitor having the amino acid sequence:

GluValCysSerGluGlnAlaGluThrGlyProCysArgAlaMet  
IleSerArgTrpTyrPheAspValThrGluGlyLysCysAla  
ProPhePheTyrGlyGlyCysGlyGlyAsnArgAsnAsnPhe  
15 AspThrGluGluTyrCysMetAlaValCysGlySerAlaIle.

20. A transgenic non-human mammal whose cells contain a cloned recombinant or synthetic DNA sequence which expresses and results in a biologically active, refolded protease inhibitor having the amino acid sequence:

GluValCysSerGluGlnAlaGluThrGlyProCysArgAlaMet  
IleSerArgTrpTyrPheAspValThrGluGlyLysCysAla  
ProPhePheTyrGlyGlyCysGlyGlyAsnArgAsnAsnPhe  
25 AspThrGluGluTyrCysMetAlaValCysGlySerAlaIle.

21. A transgenic non-human mammal whose cells contain a cloned recombinant or synthetic DNA sequence which expresses an analog of human amyloid plaque core protein protease inhibitor wherein the amino acid corresponding to arginine at position 13 in the sequence

GluValCysSerGluGlnAlaGluThrGlyProCysArgAlaMet  
IleSerArgTrpTyrPheAspValThrGluGlyLysCysAla  
ProPhePheTyrGlyGlyCysGlyGlyAsnArgAsnAsnPhe  
35 AspThrGluGluTyrCysMetAlaValCysGlySerAlaIle

is substituted with an aromatic amino acid, said analog exhibiting chymotrypsin inhibitory activity.

22. The transgenic non-human mammal as claimed  
5 in claim 21, wherein the aromatic amino acid is selected from the group consisting of phenylalanine, tyrosine and tryptophan.

23. A transgenic non-human mammal whose cells  
10 contain a cloned recombinant or synthetic DNA sequence which expresses an analog of human amyloid plaque core protein protease inhibitor wherein the amino acid corresponding to arginine at position 13 in the sequence:

GluValCysSerGluGlnAlaGluThrGlyProCysArgAlaMet  
15 IleSerArgTrpTyrPheAspValThrGluGlyLysCysAla  
ProPhePheTyrGlyGlyCysGlyGlyAsnArgAsnAsnPhe  
AspThrGluGluTyrCysMetAlaValCysGlySerAlaIle

is substituted with neutral hydrophobic amino acid, said analog exhibiting human elastase inhibitory activity.

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24. The transgenic non-human mammal as claimed  
in claim 23, wherein the neutral hydrophobic amino acid is selected from the group consisting of leucine, methionine and valine.

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25. A transgenic non-human mammal characterized  
as having a plurality of nerve tissue cells containing a nerve tissue specific promoter sequence and a 168  
basepair insert fragment of the  $\beta$ -amyloid precursor gene  
30 of bacteriophage  $\lambda$ PAPCP168i4 and a control sequence operably associated therewith, which, under predetermined conditions, expresses the gene under the control of the control sequence in the cell of the mammal.

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26. The transgenic non-human mammal as claimed in claim 25, wherein the mammal overexpresses the  $\beta$ -amyloid precursor gene in neurons.

5                   27. The transgenic non-human mammal as claimed in claim 1, wherein the mammal is a mouse.

28. A method of producing a transgenic non-human mammal characterized as having a plurality of cells  
10 containing a cloned recombinant or synthetic DNA sequence, the sequence comprising: a nerve tissue specific control sequence operably associated with a sequence which encodes for a  $\beta$ -amyloid precursor protein, the method comprising the steps of:

15                   (a) introducing the control sequence and associated sequence into a pronucleus of a mammalian zygote by microinjection, said zygote being capable of development into a mammal, thereby obtaining a genetically transformed zygote;

20                   (b) transplanting an embryo derived from the genetically transformed zygote into a pseudo pregnant female capable of bearing the embryo to term; and

                  (c) allowing the embryo to develop to term; where said control sequence and associated sequence are  
25 selected so that the associated sequence is not activated in such manner and degree as would prevent normal development of the embryo to term.

29. The method of claim 28 wherein the control  
30 sequence is one naturally associated with the gene.

30. The method of claim 28 wherein the control  
sequence is one which will activate the gene when the  
cell is exposed to stimulus different from the natural  
35 stimulus of the gene.

31. The method of claim 28 in which at least about 1,000 copies of the gene are microinjected into the pronucleus and the volume of genetic material injected  
5 does not exceed about 10 picoliters.

32. The method of claim 28 in which the control sequence and associated sequence is introduced into the male pronucleus of an egg having a separate female and  
10 male pronucleus.

33. The method of claim 28 in which the zygote is allowed to develop in vitro to the morula or blastocyst stage prior to transplantation.  
15

34. A transgenic non-human mammal characterized as having a plurality of cells containing the cloned recombinant or synthetic DNA sequence and a promoter sequence operably associated therewith, which expresses  
20 the DNA sequence under the control of the promoter sequence in cells of the mammal wherein the promoter and DNA sequence are selected from the group consisting of:

	<u>Promoter</u>	<u>A4 Sequence</u>
	NSE	A42 core domain
25	NSE	A99 carboxy tail
	NSE	A751
	NSE	A695
	NSE	A4i
	MT	A751
	MT	A695
	MT	A42 core domain
30	MT	A99 carboxy tail.
	MT	A4i

35. A method of producing a transgenic non-human mammal characterized as having a plurality of cells containing a cloned recombinant or synthetic DNA sequence  
35 comprised of an A4 sequence and a promoter sequence

operably associated therewith, which, under predetermined conditions, expresses the A4 sequence under the control of the promoter sequence in the cells of the mammal, comprising the steps of:

5 (a) introducing the DNA sequence into a pronucleus of a mammalian zygote by microinjection, the zygote being capable of development into a mammal, thereby obtaining a genetically transformed zygote;

10 (b) transplanting an embryo derived from the genetically transformed zygote into a pseudo pregnant female capable of bearing the embryo to term; and

(c) allowing the embryo to develop to term; where said A4 sequence and promoter sequence are selected from the group consisting of:

15

	<u>Promoter</u>	<u>A4 Sequence</u>
	NSE	A42 core domain
	NSE	A99 carboxy tail
	NSE	A751
	NSE	A695
20	NSE	A4i
	MT	A751
	MT	A695
	MT	A42 core domain
	MT	A99 carboxy tail.
	MT	A4i

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36. The method of claim 35 wherein the promoter sequence is NSE and the A4 sequence is A751.

30 37. The method of claim 35 wherein the promoter sequence is NSE and the A4 sequence is A695.

38. The method of claim 35 in which at least about 1,000 copies of the DNA sequence are microinjected into the pronucleus and the volume of genetic material  
35 injected does not exceed about 10 picoliters.

39. The method of claim 35 in which the DNA sequence is introduced into the male pronucleus of an egg having a separate female and male pronucleus.

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40. The method of claim 35 in which the zygote is allowed to develop in vitro to the morula or blastocyst stage prior to transplantation.

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41. A method of determining the effectiveness of a drug in decreasing amounts of plaques, comprising:  
administering the drug to a transgenic mammal as claimed in claim 1 and continuing to administer the drug over a period of time normally sufficient to effect amyloid protein deposition in the brain of the mammal;  
and

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assaying the brain for inducer associated amyloid deposits and determining the effectiveness of the drug based on the relative amount of amyloid deposits as compared to a standard.

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42. The method as claimed in claim 41, wherein the standard is a standard obtained from a transgenic mammal as claimed in claim 1 which has not had the drug administered thereto.

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43. A method of determining the effectiveness of a drug, comprising:

administering the drug to a transgenic mammal as claimed in claim 14 wherein the administering includes periodically providing the drug to the mammal in sufficient amounts over a period of time which would normally effect amyloid protein deposition in the brain of the mammal; and

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examining the brain of the mammal for amyloid deposits and determining the effectiveness of the drug based on the relative amount of amyloid deposits as compared to a standard.

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44. The method as claimed in claim 43, wherein the standard is the brain of a mammal as claimed in claim 14 wherein the mammal has not had the drug administered thereto.

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ATG CTG CCC  
MET Leu Pro

GGT TTG GCA CTG CTC CTG CTG GCC GCC TGG ACG GCT CGG GCG CTG GAG GTA CCC  
Gly Leu Ala Leu Leu Leu Leu Ala Ala Trp Thr Ala Arg Ala Leu Glu Val Pro  
10 20

ACT GAT GGT AAT GCT GGC CTG CTG GCT GAA CCC CAG ATT GCC ATG TTC TGT GGC  
Thr Asp Gly Asn Ala Gly Leu Leu Ala Glu Pro Gln Ile Ala MET Phe Cys Gly  
30

AGA CTG AAC ATG CAC ATG AAT GTC CAG AAT GGG AAG TGG GAT TCA GAT CCA TCA  
Arg Leu Asn MET His MET Asn Val Gln Asn Gly Lys Trp Asp Ser Asp Pro Ser

40 50  
GGG ACC AAA ACC TGC ATT GAT ACC AAG GAA GGC ATC CTG CAG TAT TGC CAA GAA  
Gly Thr Lys Thr Cys Ile Asp Thr Lys Glu Gly Ile Leu Gln Tyr Cys Gln Glu  
60 70

GTC TAC CCT GAA CTG CAG ATC ACC AAT GTG GTA GAA GCC AAC CAA CCA GTG ACC  
Val Tyr Pro Glu Leu Gln Ile Thr Asn Val Val Glu Ala Asn Gln Pro Val Thr  
80 90

ATC CAG AAC TGG TGC AAG CGG GGC CGC AAG CAG TGC AAG ACC CAT CCC CAC TTT  
Ile Gln Asn Trp Cys Lys Arg Gly Arg Lys Gln Cys Eys Thr His Pro His Phe  
100 110

GTG ATT CCC TAC CGC TGC TTA GTT GGT GAG TTT GTA AGT GAT GCC CTT CTC GTT  
Val Ile Pro Tyr Arg Cys Leu Val Gly Glu Phe Val Ser Asp Ala Leu Leu Val  
120

CCT GAC AAG TGC AAA TTC TTA CAC CAG GAG AGG ATG GAT GTT TGC GAA ACT CAT  
Pro Asp Lys Cys Lys Phe Leu His Gln Glu Arg MET Asp Val Cys Glu Thr His  
130 140

CTT CAC TGG CAC ACC GTC GCC AAA GAG ACA TGC AGT GAG AAG AGT ACC AAC TTG  
Leu His Trp His Thr Val Ala Lys Glu Thr Cys Ser Glu Lys Ser Thr Asn Leu  
150 160

CAT GAC TAC GGC ATG TTG CTG CCC TGC GGA ATT GAC AAG TTC CGA GGG GTA GAG  
His Asp Tyr Gly MET Leu Leu Pro Cys Gly Ile Asp Lys Phe Arg Gly Val Glu  
170 180

TTT GTG TGT TGC CCA CTG GCT GAA GAA AGT GAC AAT GTG GAT TCT GCT GAT GCG  
Phe Val Cys Cys Pro Leu Ala Glu Glu Ser Asp Asn Val Asp Ser Ala Asp Ala  
190 200

FIG. 1 - I

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GAG GAG GAT GAC TCG GAT GTC TGG TGG GGC GGA GCA GAC ACA GAC TAT GCA GAT  
 Glu Glu Asp Asp Ser Asp Val Trp Trp Gly Gly Ala Asp Thr Asp Tyr Ala Asp  
 210

GGG AGT GAA GAC AAA GTA GTA GAA GTA GCA GAG GAG GAA GAA GTG GCT GAG GTG  
 Gly Ser Glu Asp Lys Val Val Glu Val Ala Glu Glu Glu Val Ala Glu Val  
 220 230

GAA GAA GAA GAA GCC GAT GAT GAC GAG GAC GAT GAG GAT GGT GAT GAG GTA GAG  
 Glu Glu Glu Glu Ala Asp Asp Asp Glu Asp Asp Glu Asp Gly Asp Glu Val Glu  
 240 250

GAA GAG GCT GAG GAA CCC TAC GAA GAA GCC ACA GAG AGA ACC ACC AGC ATT GCC  
 Glu Glu Ala Glu Glu Pro Tyr Glu Glu Ala Thr Glu Arg Thr Thr Ser Ile Ala  
 260 270

ACC ACC ACC ACC ACC ACC ACA GAG TCT GTG GAA GAG GTG GTT CGA GAG GTG TGC  
 Thr Thr Thr Thr Thr Thr Thr Glu Ser Val Glu Glu Val Val Arg Glu Val Cys  
 280 290

TCT GAA CAA GCC GAG ACG GGG CCG TGC CGA GCA ATG ATC TCC CGC TGG TAC TTT  
 Ser Glu Gln Ala Glu Thr Gly Pro Cys Arg Ala MET Ile Ser Arg Trp Tyr Phe  
 300

GAT GTG ACT GAA GGG AAG TGT GCC CCA TTC TTT TAC GGC GGA TGT GGC GGC AAC  
 Asp Val Thr Glu Gly Lys Cys Ala Pro Phe Phe Tyr Gly Gly Cys Gly Gly Asn  
 310 320

CGG AAC AAC TTT GAC ACA GAA GAG TAC TGC ATG GCC GTG TGT GGC AGC GCC ATT  
 Arg Asn Asn Phe Asp Thr Glu Glu Tyr Cys MET Ala Val Cys Gly Ser Ala Ile  
 330 340

CCT ACA ACA GCA GCC AGT ACC CCT GAT GCC GTT GAC AAG TAT CTC GAG ACA CCT  
 Pro Thr Thr Ala Ala Ser Thr Pro Asp Ala Val Asp Lys Tyr Leu Glu Thr Pro  
 350 360

GGG GAT GAG AAT GAA CAT GCC CAT TTC CAG AAA GCC AAA GAG AGG CTT GAG GCC  
 Gly Asp Glu Asn Glu His Ala His Phe Gln Lys Ala Lys Glu Arg Leu Glu Ala  
 370 380

AAG CAC CGA GAG AGA ATG TCC CAG GTC ATG AGA GAA TGG GAA GAG GCA GAA CGT  
 Lys His Arg Glu Arg MET Ser Gln Val MET Arg Glu Trp Glu Glu Ala Glu Arg  
 390

CAA GCA AAG AAC TTG CCT AAA GCT GAT AAG AAG GCA GTT ATC CAG CAT TTC CAG  
 Gln Ala Lys Asn Leu Pro Lys Ala Asp Lys Lys Ala Val Ile Gln His Phe Gln  
 400 410

GAG AAA GTG GAA TCT TTG GAA CAG GAA GCA GCC AAC GAG AGA CAG CAG CTG GTG  
 Glu Lys Val Glu Ser Leu Glu Gln Glu Ala Ala Asn Glu Arg Gln Gln Leu Val  
 420 430

FIG. 1-2

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GAG ACA CAC ATG GCC AGA GTG GAA GCC ATG CTC AAT GAC CGC CGC CGC CTG GCC  
 Glu Thr His MET Ala Arg Val Glu Ala MET Leu Asn Asp Arg Arg Arg Leu Ala  
 440 450

CTG GAG AAC TAC ATC ACC GCT CTG CAG GCT GTT CCT CCT CGG CCT CGT CAC GTG  
 Leu Glu Asn Tyr Ile Thr Ala Leu Gln Ala Val Pro Pro Arg Pro Arg His Val  
 460 470

TTC AAT ATG CTA AAG AAG TAT GTC CGC GCA GAA CAG AAG GAC AGA CAG CAC ACC  
 Phe Asn MET Leu Lys Lys Tyr Val Arg Ala Glu Gln Lys Asp Arg Gln His Thr  
 480

CTA AAG CAT TTC GAG CAT GTG CGC ATG GTG GAT CCC AAG AAA GCC GCT CAG ATC  
 Leu Lys His Phe Glu His Val Arg MET Val Asp Pro Lys Lys Ala Ala Gln Ile  
 490 500

CGG TCC CAG GTT ATG ACA CAC CTC CGT GTG ATT TAT GAG CGC ATG AAT CAG TCT  
 Arg Ser Gln Val MET Thr His Leu Arg Val Ile Tyr Glu Arg MET Asn Gln Ser  
 510 520

CTC TCC CTG CTC TAC AAC GTG CCT GCA GTG GCC GAG GAG ATT CAG GAT GAA GTT  
 Leu Ser Leu Leu Tyr Asn Val Pro Ala Val Ala Glu Glu Ile Gln Asp Glu Val  
 530 540

GAT GAG CTG CTT CAG AAA GAG CAA AAC TAT TCA GAT GAC GTC TTG GCC AAC ATG  
 Asp Glu Leu Leu Gln Lys Glu Gln Asn Tyr Ser Asp Asp Val Leu Ala Asn MET  
 550 560

ATT AGT GAA CCA AGG ATC AGT TAC GGA AAC GAT GCT CTC ATG CCA TCT TTG ACC  
 Ile Ser Glu Pro Arg Ile Ser Tyr Gly Asn Asp Ala Leu MET Pro Ser Leu Thr  
 570

GAA ACG AAA ACC ACC GTG GAG CTC CTT CCC GTG AAT GGA GAG TTC AGC CTG GAC  
 Glu Thr Lys Thr Thr Val Glu Leu Leu Pro Val Asn Gly Glu Phe Ser Leu Asp  
 580 590

GAT CTC CAG CCG TGG CAT TCT TTT GGG GCT GAC TCT GTG CCA GCC AAC ACA GAA  
 Asp Leu Gln Pro Trp His Ser Phe Gly Ala Asp Ser Val Pro Ala Asn Thr Glu  
 600 610

AAC GAA GTT GAG CCT GTT GAT GCC CGC CCT GCT GCC GAC CGA GGA CTG ACC ACT  
 Asn Glu Val Glu Pro Val Asp Ala Arg Pro Ala Ala Asp Arg Gly Leu Thr Thr  
 620 630

CGA CCA GGT TCT GGG TTG ACA AAT ATC AAG ACG GAG GAG ATC TCT GAA GTG AAG  
 Arg Pro Gly Ser Gly Leu Thr Asn Ile Lys Thr Glu Glu Ile Ser Glu Val Lys  
 640 650

ATG GAT GCA GAA TTC CGA CAT GAC TCA GGA TAT GAA GTT CAT CAT CAA AAA TTG  
 MET Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His Gln Lys Leu  
 660

FIG. 1-3

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GTG TTC TTT GCA GAA GAT GTG GGT TCA AAC AAA GGT GCA ATC ATT GGA CTC ATG  
 Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys Gly Ala Ile Ile Gly Leu MET  
 670 680

GTG GGC GGT GTT GTC ATA GCG ACA GTG ATC GTC ATC ACC TTG GTG ATG CTG AAG  
 Val Gly Gly Val Val Ile Ala Thr Val Ile Val Ile Thr Leu Val MET Leu Lys  
 690 700

AAG AAA CAG TAC ACA TCC ATT CAT CAT GGT GTG GTG GAG GTT GAC GCC GCT GTC  
 Lys Lys Gln Tyr Thr Ser Ile His His Gly Val Val Glu Val Asp Ala Ala Val  
 710 720

ACC CCA GAG GAG CGC CAC CTG TCC AAG ATG CAG CAG AAC GGC TAC GAA AAT CCA  
 Thr Pro Glu Glu Arg His Leu Ser Lys MET Gln Gln Asn Gly Tyr Glu Asn Pro  
 730 740

ACC TAC AAG TTC TTT GAG CAG ATG CAG AAC TAG  
 Thr Tyr Lys Phe Phe Glu Gln MET Gln Asn  
 750

FIG. 1-4

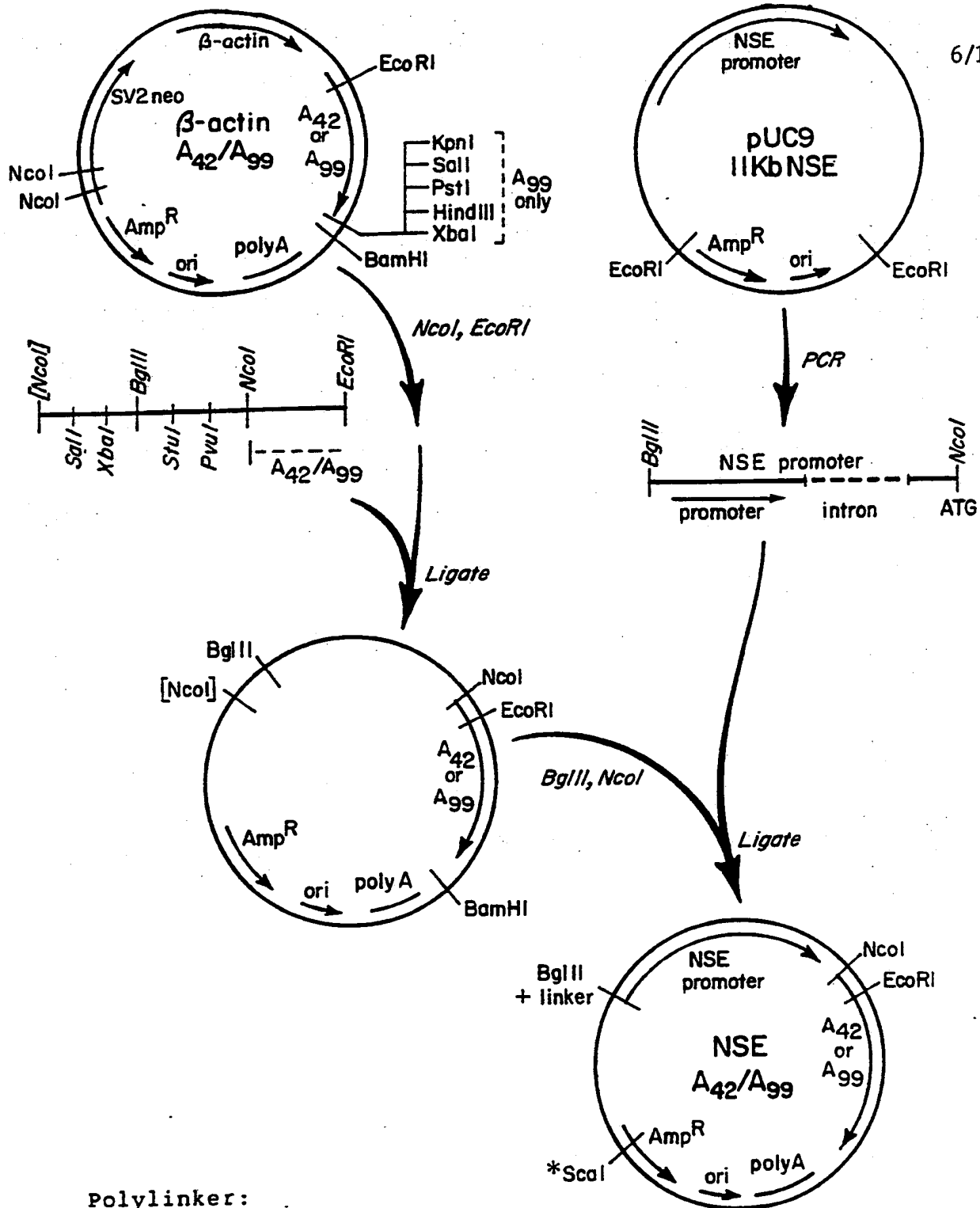
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Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His Gln  
 20 30  
 Lys Leu Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys Gly Ala  
 40 (42)  
 Ile Ile Gly Leu Met Val Gly Gly Val Val Ile Ala Thr Val Ile  
 50 60  
 Val Ile Thr Leu Val Met Leu Lys Lys Lys Gln Tyr Thr Ser Ile  
 70  
 His His Gly Val Val Glu Val Asp Ala Ala Val Thr Pro Glu Glu  
 80 90  
 Arg His Leu Ser Lys Met Gln Gln Asn Gly Tyr Glu Asn Pro Thr  
 (99)  
 Tyr Lys Phe Phe Glu Gln Met Gln Asn.

FIG. 2

Asp Ala Glu Phe Arg His Asp Ser Gly Tyr Glu Val His His Gln  
 20 30  
 Lys Leu Val Phe Phe Ala Glu Asp Val Gly Ser Asn Lys Gly Ala  
 40 (42)  
 Ile Ile Gly Leu Met Val Gly Gly Val Val Ile Ala

FIG. 3



Polylinker:

Sall XbaI BglII StuI PvuII NcoI EcoRI  
 CATGTGTCGACTCTAGAAGATCTAGGCCTCAGCTGCCATGGATGCAG  
 ACAGCTGAGATCTTCTAGATCCGGAGTCGACGGTACCTACGTCTTAA  
 MetAspAlaGluPhe

BglII CCCAGCCACCATGGATGCAGAATT... NcoI  
 ... NSE promoter polylinker A<sub>42</sub>/A<sub>99</sub>

Fig. 4

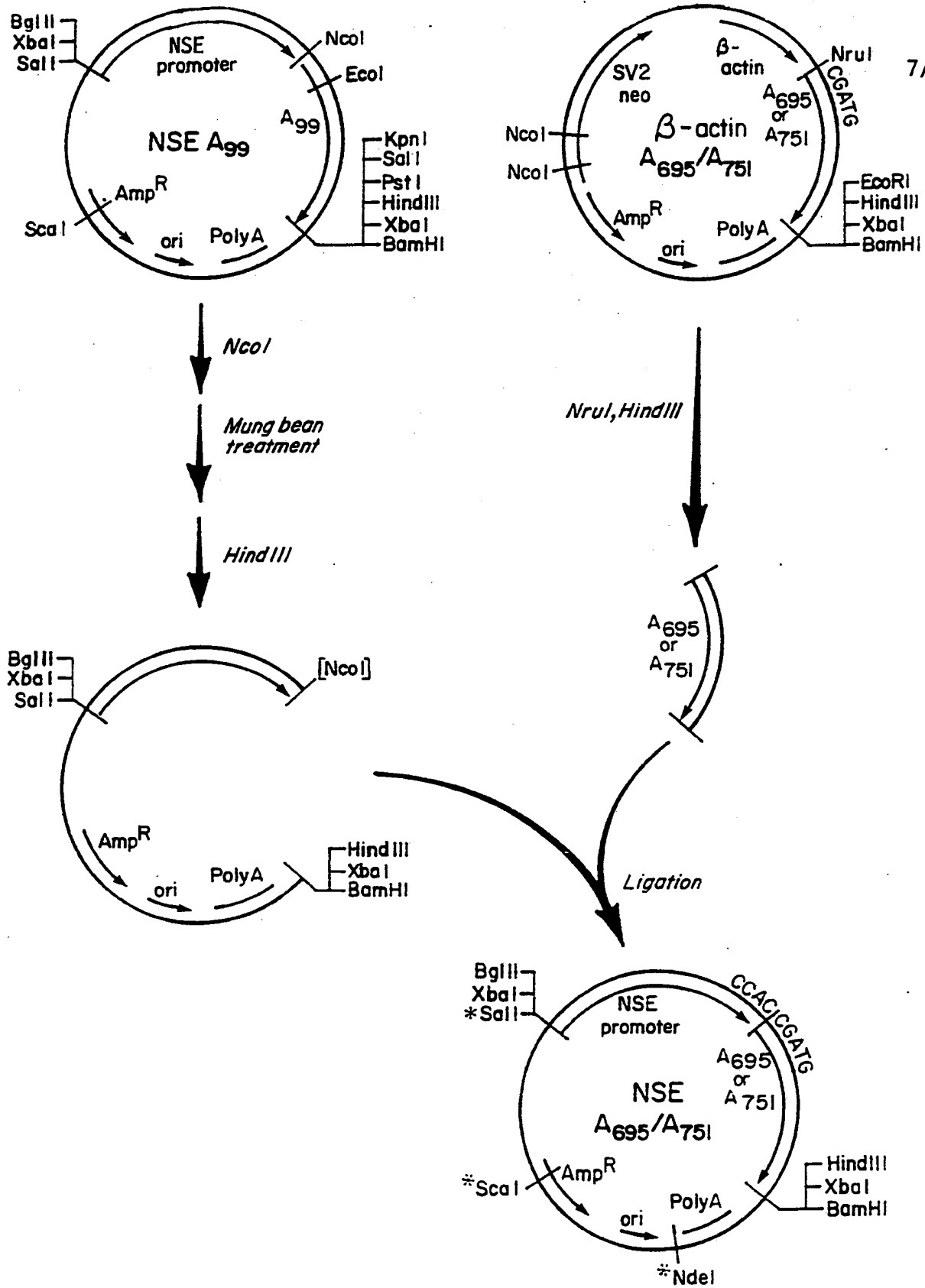


Fig. 5

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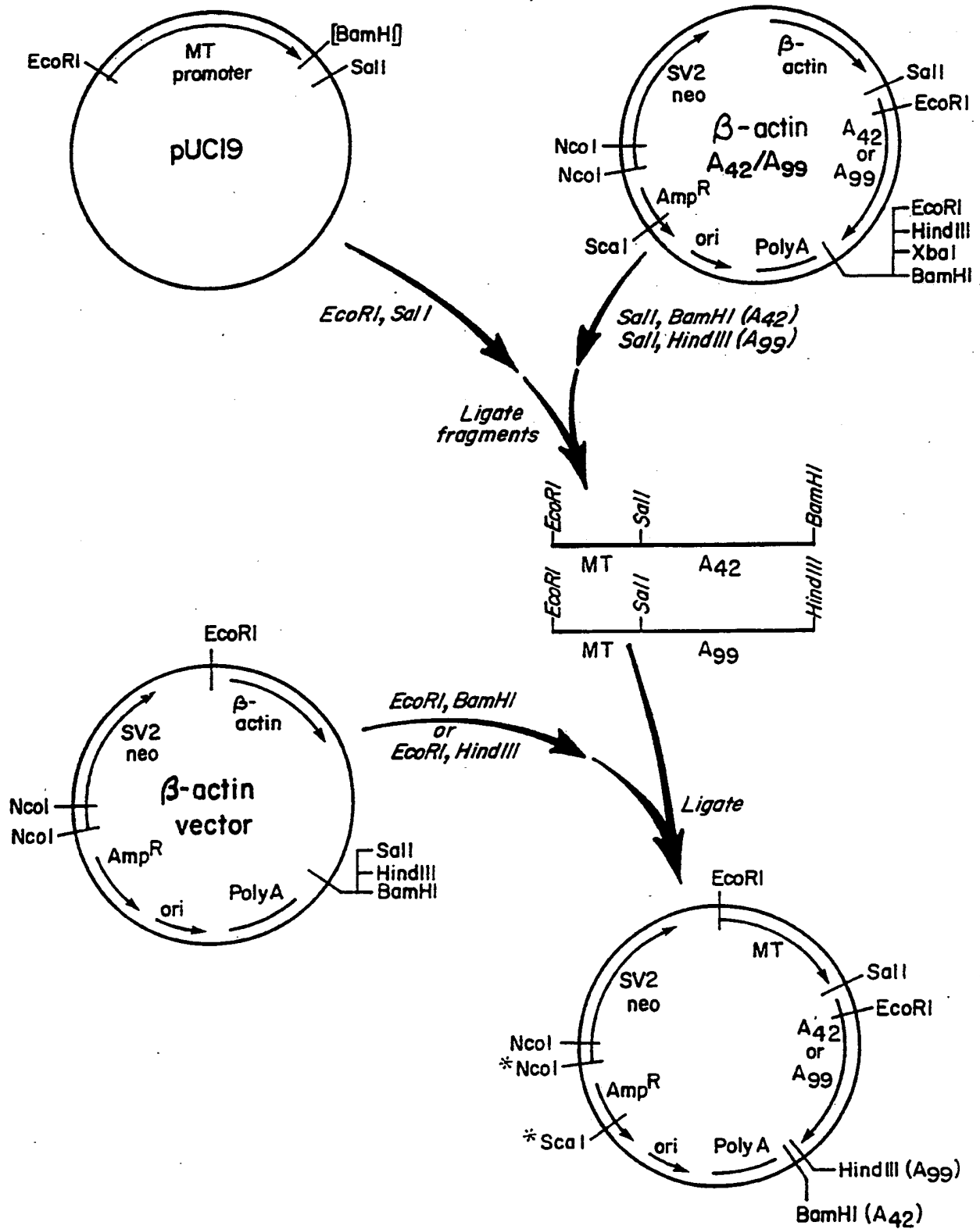
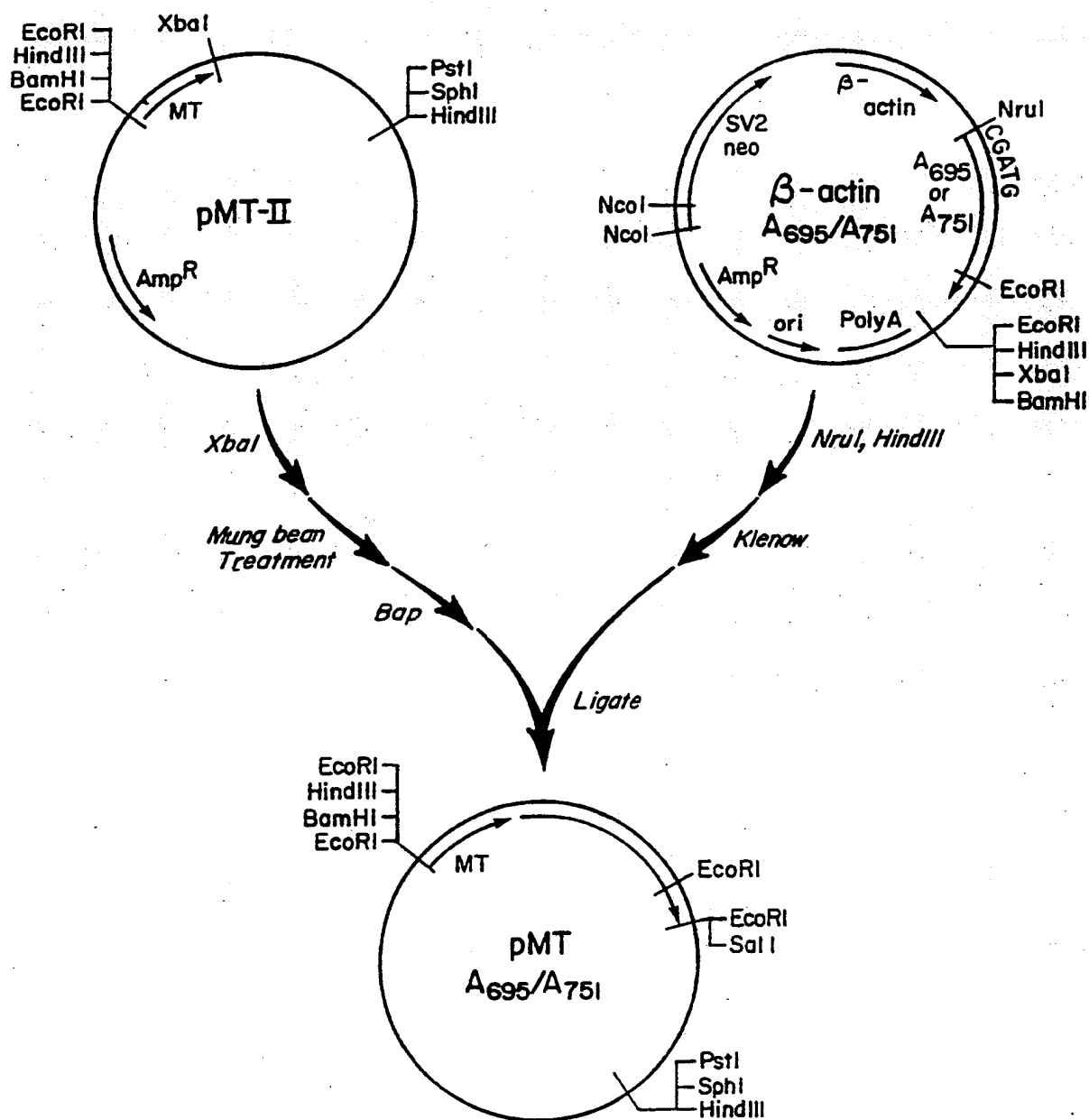


Fig. 6



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Sequence around ATG:

MT promoter      CCAGATCTGGAATG

Fig. 7

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## FIG. 8-1

TIBOBI : Inter-alpha-trypsin inhibitor (BPI type)  
50.0% identity in 52 aa overlap

1" AVLPOZEEGSGGQLVTEVTKKEDSCQLGYSAGPCMGHTSRYFYNGTSHACETFOYGGCH

INSERT

1'

EVCSEQAETGPCRAMISRHWYFDVTEGNCAPFFYGGCGGNHN

TIHUBI

61"

GNGNMFVTEKEZCLQTCRTVAACNLFVINGPCNAFIQLWAFDAVKGKCVLPFYGGCCQGNCH

42' NFDTEEYCHAVCGSAI

..:..:..:..

121" KFYSEKECEYCGVPGDEDELL

TIBOBI : Inter-alpha-trypsin inhibitor (BPI type)  
46.1% identity in 54 aa overlap

INSERT

1'

EV

TIBOBI

1"

KADSCOLDYSOGPCGLFKRYFYNGTSHACETFLYGGCHGNLNFLSQKECLQTCRTVEA

3'

CSEQAETGPCRAMISRHWYFDVTEGNCAPFFYGGCGGNRNFTDEEYCHAVCGSAI

61"

CNLPVQGPCNAFIQLWAFDAVKGKCVRFSGCKGNGNKFYSQKECKEYCGIPGZADEN

121" LL

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## FIG. 8-2

TIBO : Basic protease inhibitor precursor - Bovine  
47.4% identity in 57 aa overlap

```

INSERT 1'      EVCSEQAE TGPCRAHISRWYFDVTEGKCAPFFYGGCGGNRRNFD
              . : : : : : : : : : : : : : : : : : : : : : : : : : : : :
TIBO 1" PELNNDPPIPAQRPDFCLEPPYTGPCAKARIIRYFYNAKAGLCQTFVYGGCRAKNNFK
              . : : : : : : : : : : : : : : : : : : : : : : : : : : : :

```

```

45' TEYCHAVCGSAI
   . : : : : : : :
61" SAEDCHRTCGGAI GFWGKTGGRAZEGKG

```

TIBO : Serum basic protease inhibitor - Bovine  
42.9% identity in 56 aa overlap

```

INSERT 1'      EVCSEQAE TGPCRAHISRWYFDVTEGKCAPFFYGGCGGNRRNFDTEYCHAVCGSA
              . : : : : : : : : : : : : : : : : : : : : : : : : : : : :
TIBO 1" TERPDFCLEPPYTGPCAAIRYFYNAKAGFCETVYGGCRAKSNFKSAEDCHRTCGGA
              . : : : : : : : : : : : : : : : : : : : : : : : : : : : :

```

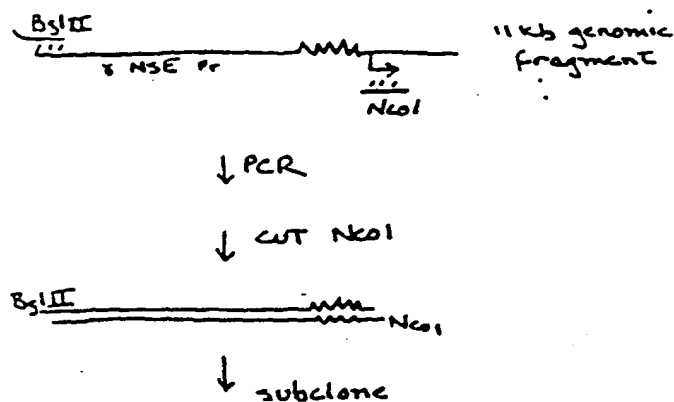
57' 1

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[illegible]

γ NSE plus intron oligos:

Bgl II  
 5' GAA GAT CTT CAG TAA AGG TGA TGG CAC GAAGG 3'  
  
Nco I  
 5' TCG TAT AG CCA TGG TGG CTG GGA TCT CCT TGGG 3'



**FIG. 9**

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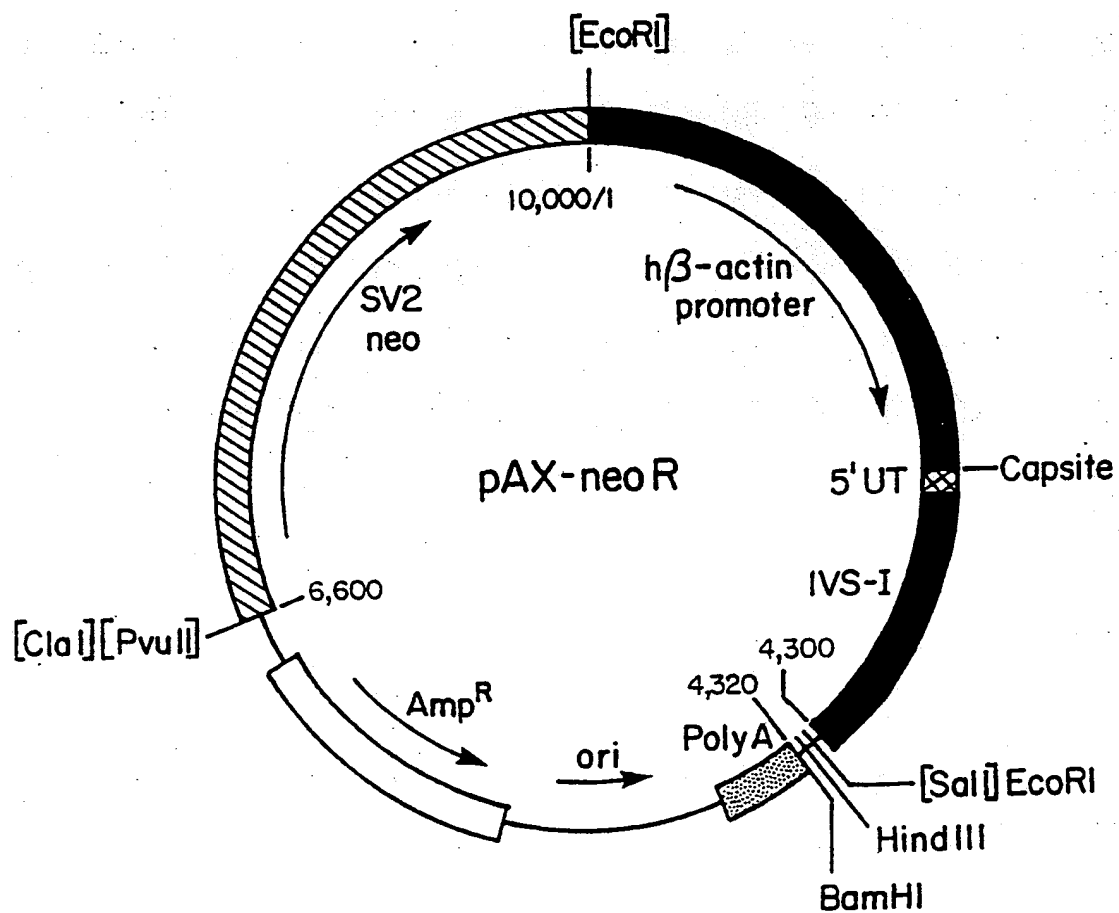
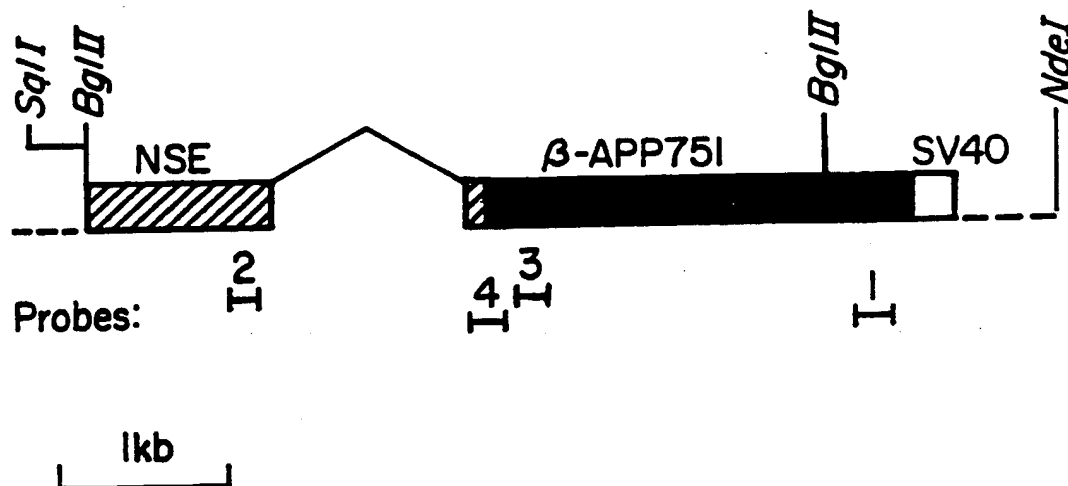
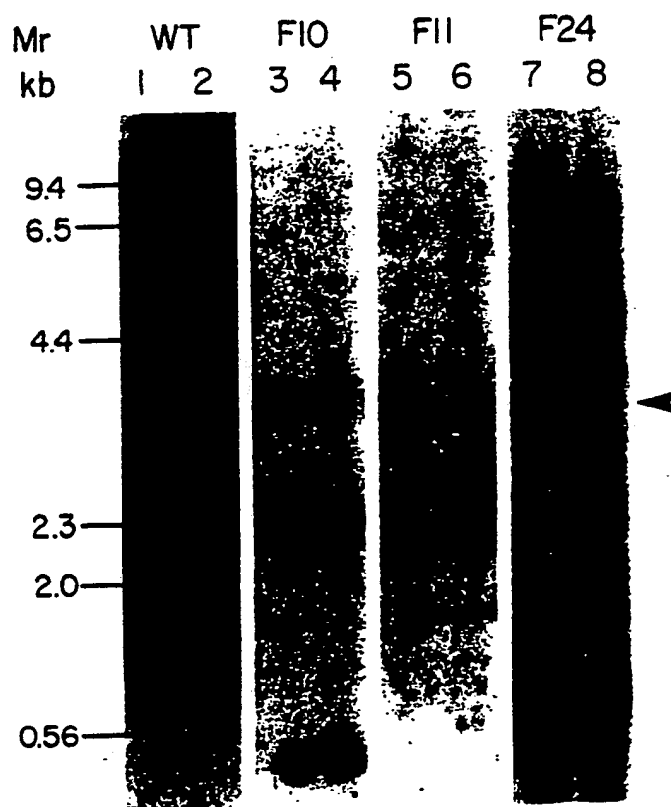


Fig. 10

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**A.****B.****FIG. 11**

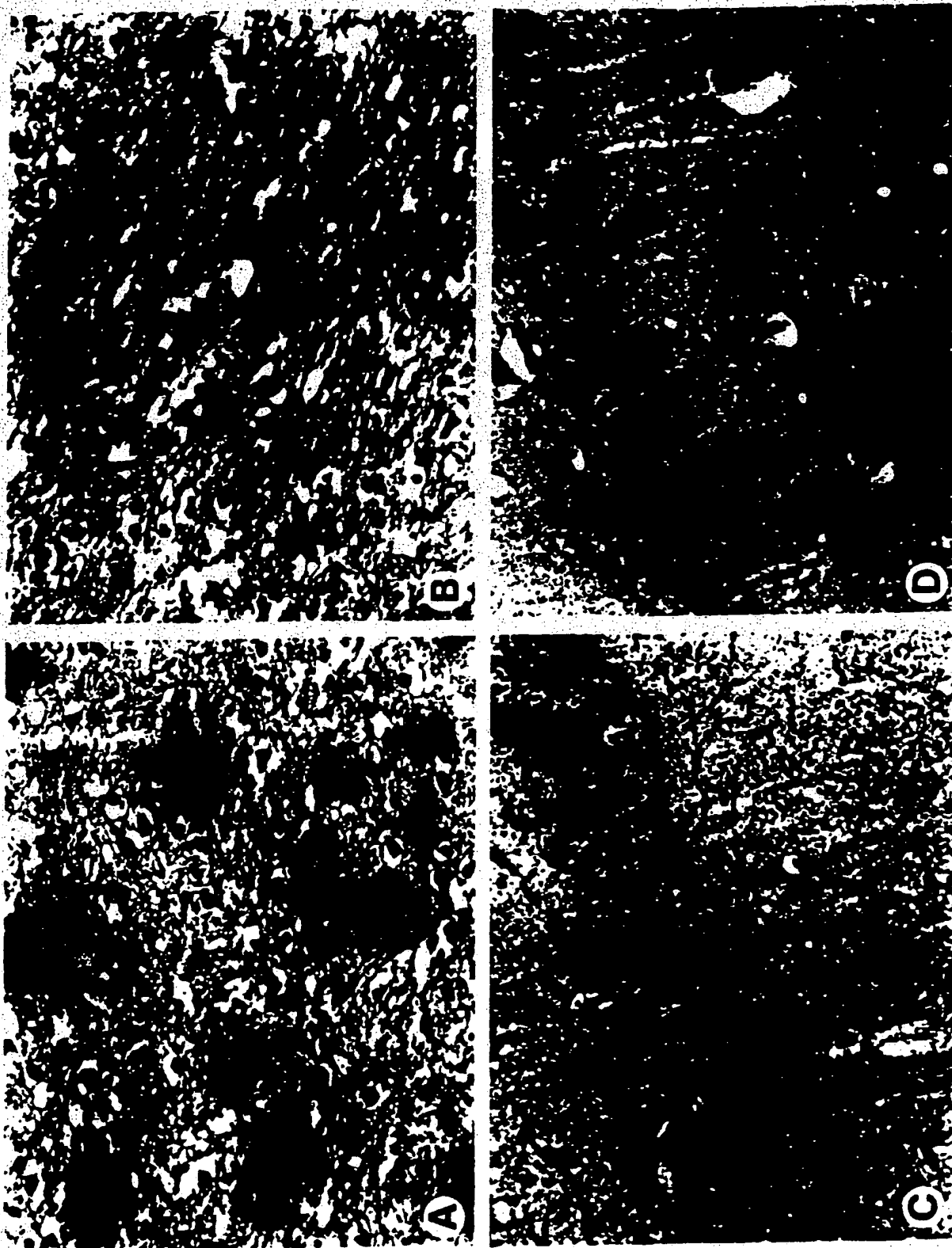


FIG. 12

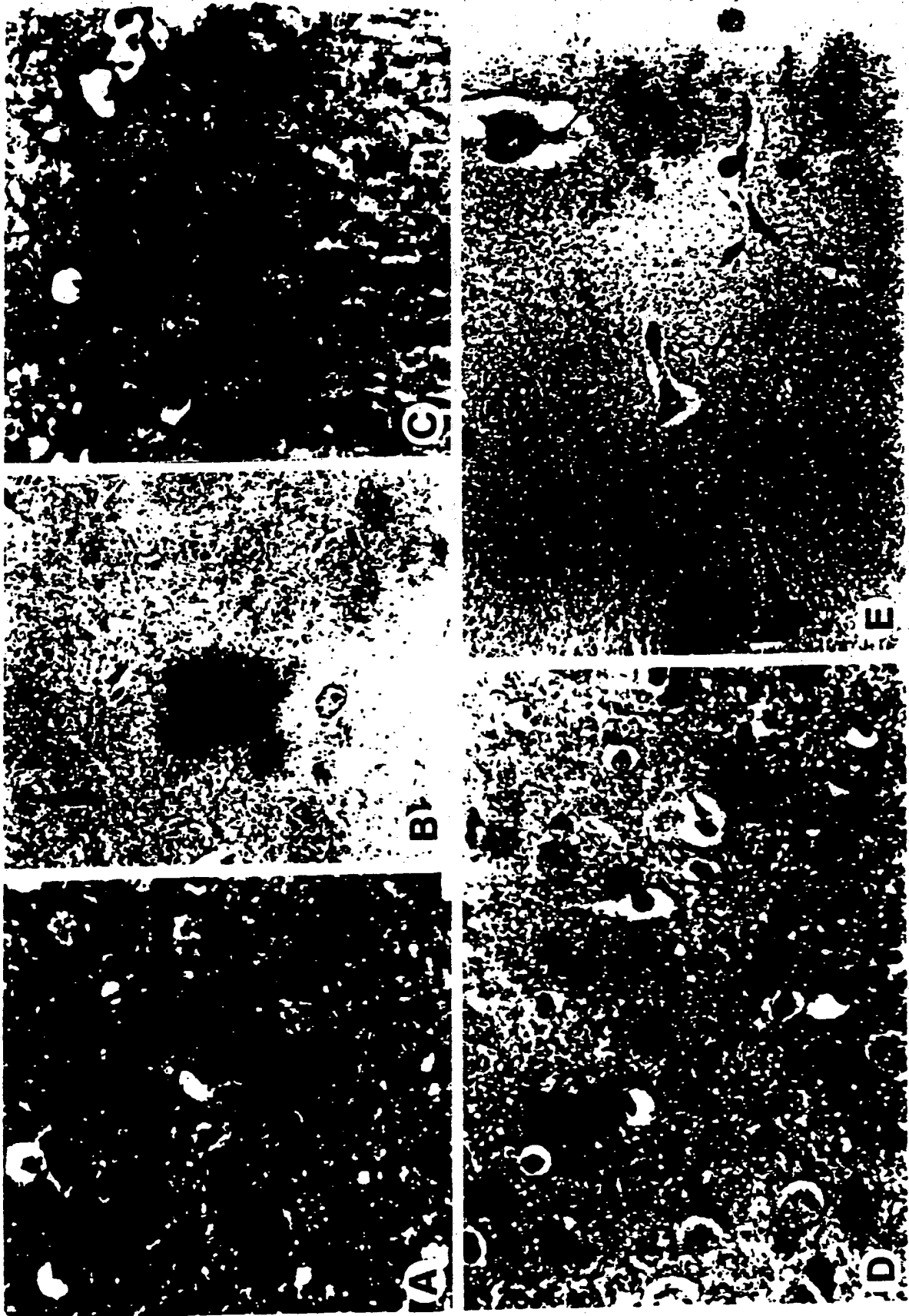


FIG. 13



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/04447

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>3</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): <u>G12P</u> 21/00; A01H 5/00 US CL : 800/2; 435/69.1																				
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border: 1px solid black; padding: 2px;">Minimum Documentation Searched<sup>4</sup></div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Classification System</th> <th style="width: 80%;">Classification Symbols</th> </tr> <tr> <td style="text-align: center; vertical-align: top;">U.S.</td> <td style="text-align: center; vertical-align: top;">800/2; 435/69.1; 935/6</td> </tr> </table> <div style="text-align: center; border: 1px solid black; padding: 2px;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched<sup>5</sup></div>			Classification System	Classification Symbols	U.S.	800/2; 435/69.1; 935/6														
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APS, CHEM. ABSTRACTS																				
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Category*</th> <th style="width: 60%;">Citation of Document,<sup>16</sup> with indication, where appropriate, of the relevant passages<sup>17</sup></th> <th style="width: 30%;">Relevant to Claim No. <sup>18</sup></th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">Y/A</td> <td>Nature, Vol 325, Issued 19 February 1987, Kang et al, " The Precursor of Alzheimer's Disease Amyloid A4...Receptor", pages 733-736, see entire document.</td> <td style="text-align: center;">1-22/23-44</td> </tr> <tr> <td style="text-align: center;">Y/A</td> <td>Nature, vol 331, issued 11 February 1988, Ponte et al, "A new A4 amyloid mRNA contains... inhibitors", pages 525-527, see entire document.</td> <td style="text-align: center;">1-22/23-44</td> </tr> <tr> <td style="text-align: center;">Y/A</td> <td>Nature, vol 317, issued 26 September 1985, Swanson et al, "Novel developmental specificity in the nervous system...genes", pages 363-366, see entire document.</td> <td style="text-align: center;">1-22/23-44</td> </tr> <tr> <td style="text-align: center;">Y/A</td> <td>Nature, vol 331, issued 11 February 1988, Kitaguchi et al, "Novel precursor of Alzheimer's disease...activity", pages 530-532, see entire document.</td> <td style="text-align: center;">1-22/23-44</td> </tr> <tr> <td style="text-align: center;">Y/A</td> <td>Proc. Natl. Acad. Sci., Vol 82, issued June 1985, Masters et al, "Amyloid plaque core protein...syndrome", pages 4245-4249, see entire document.</td> <td style="text-align: center;">1-22/23-44</td> </tr> </tbody> </table>			Category*	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>	Y/A	Nature, Vol 325, Issued 19 February 1987, Kang et al, " The Precursor of Alzheimer's Disease Amyloid A4...Receptor", pages 733-736, see entire document.	1-22/23-44	Y/A	Nature, vol 331, issued 11 February 1988, Ponte et al, "A new A4 amyloid mRNA contains... inhibitors", pages 525-527, see entire document.	1-22/23-44	Y/A	Nature, vol 317, issued 26 September 1985, Swanson et al, "Novel developmental specificity in the nervous system...genes", pages 363-366, see entire document.	1-22/23-44	Y/A	Nature, vol 331, issued 11 February 1988, Kitaguchi et al, "Novel precursor of Alzheimer's disease...activity", pages 530-532, see entire document.	1-22/23-44	Y/A	Proc. Natl. Acad. Sci., Vol 82, issued June 1985, Masters et al, "Amyloid plaque core protein...syndrome", pages 4245-4249, see entire document.	1-22/23-44
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Y/A	Proc. Natl. Acad. Sci., Vol 82, issued June 1985, Masters et al, "Amyloid plaque core protein...syndrome", pages 4245-4249, see entire document.	1-22/23-44																		
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<b>IV. CERTIFICATION</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">           Date of the Actual Completion of the International Search<sup>2</sup>   <div style="text-align: center;">27 November 1991</div> </td> <td style="width: 50%; padding: 5px;">           Date of Mailing of this International Search Report<sup>2</sup>   <div style="text-align: center; font-size: 1.2em;">29 NOV 1991</div> </td> </tr> <tr> <td style="width: 50%; padding: 5px;">           International Searching Authority<sup>1</sup>   <div style="text-align: center;">ISA/US</div> </td> <td style="width: 50%; padding: 5px;">           Signature of Authorized Officer<sup>20</sup>  <div style="text-align: center;">Deborah Crouch <i>Deborah Crouch</i></div> </td> </tr> </table>			Date of the Actual Completion of the International Search <sup>2</sup>  <div style="text-align: center;">27 November 1991</div>	Date of Mailing of this International Search Report <sup>2</sup>  <div style="text-align: center; font-size: 1.2em;">29 NOV 1991</div>	International Searching Authority <sup>1</sup>  <div style="text-align: center;">ISA/US</div>	Signature of Authorized Officer <sup>20</sup> <div style="text-align: center;">Deborah Crouch <i>Deborah Crouch</i></div>														
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## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

Y/A	The EMBO Journal, vol 7, no. 4, issued 1988, Dyrks et al, "Identification, transmembrane orientation and biogenesis...disease", pages 949-957, see entire document.	1-22/23-44
Y/A	Gene, vol 60, issued 1987, Sakimura et al, "The structure and expression of neuron-specific enolase gene", pages 103-113, see entire document.	1-22/23-44
Y/A	Hogan et al, eds., "Manipulating the Mouse Embryo: A Laboratory Manual" published 1986 by Cold Spring Harbor Laboratory, pages 153-201, see entire document.	1-22/23-44

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers \_ , because they relate to subject matter (1) not required to be searched by this Authority, namely:
  
2. ☐ Claim numbers \_ , because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out (1), specifically:
  
3. ☐ Claim numbers \_ , because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Search Authority did not invite payment of any additional fee.

Remark on protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

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